

# Foster Family Home - Deficiency Report

**Provider ID:** 1-200048

**Home Name:** Clarabelle A. Vallo, NA

**Review ID:** 1-200048-3

91-1142 Haiano Place

Reviewer: Jackie Chamberlain

Ewa Beach HI 96706

Begin Date: 10/7/2021

## Foster Family Home Required Certificate [11-800-6]

6.(d)(1) Comply with all applicable requirements in this chapter; and

Comment:

6(d)(1) CCFFH inspection made for a 2 bed re-certification.

Deficiency Report issued during CCFFH visit with corrective action plan due to CTA within 30 days of inspection.

The issues of:

1. A CCFFH admits a client without a

CMA.§11-800-42(a)(5)

2. Admitting a private pay client as your first CCFFH client

will be addressed under separate cover. Please continue to address your Deficiency Report and submit by the due date specified on your CAR.

## Foster Family Home Background Checks [11-800-8]

8.(a)(1) Be subject to criminal history record checks in accordance with section 846-2.7, HRS;

Comment:

8.(a)(1) No proof of year 1 or 2 fingerprints / APS / or CAN

## Foster Family Home Reporting Changes [11-800-12]

12. The case management agency or home shall immediately report to the department changes that may affect the case management agency's or home's ability to comply with the applicable requirements of this chapter. Changes to be reported include, but are not limited to, changes:

12.(4) In the household composition or structure of the home; and

Comment:

12. Email provided by CCFFH not in service. CCFFH was unaware of active email address until CTA send email from G iPhone to fine out active email address.

12.(4) An elderly female was found in the home and discovered to be a private pay client since 04/20/2018

# Foster Family Home - Deficiency Report

Foster Family Home	Information Confidentiality	[11-800-16]
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- 16.(a) All information relating to individuals who apply for or receive home and community-based case management and community care foster family home services shall be confidential.

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- 16.(b)(1) Have written policies and procedures that relate to confidentiality and privacy rights of applicants and recipients;

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- 16.(b)(2) Safeguard all confidential information about applicants and recipients of services;

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- 16.(b)(3) Inform clients about their confidentiality practices;

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- 16.(b)(5) Provide training to all employees, and for homes, other adults in the home, on their confidentiality policies and procedures and client privacy rights.

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Comment:

- 16.(a) no proof of confidentiality
- 16.(b)(1) no proof of written policies and procedures
- 16.(b)(2) No proof of Safeguard all confidential information about applicants and recipients of services
- 16.(b)(3) No proof of Inform clients about their confidentiality practices
- 16.(b)(5)no proof of Provide training to all employees, and for homes, other adults in the home, on their confidentiality policies and procedures and client privacy rights.

Foster Family Home	Personnel and Staffing	[11-800-41]
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- 41.(b)(5)(C)(ii) Have a current tuberculosis clearance;

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- 41.(b)(8) Have documentation of current training in blood borne pathogen and infection control, cardiopulmonary resuscitation, and basic first aid.

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- 41.(c) The primary caregiver shall attend twelve hours, and the substitute caregiver shall attend eight hours, of in-service training annually which shall be approved by the department as pertinent to the management and care of clients. The primary caregiver shall maintain documentation of training received by all caregivers, in the caregiver file in the home.

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- 41.(f) The primary caregiver shall maintain a file on all adult household members who are not substitute caregivers with evidence that they have current:

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- 41.(f)(1) Tuberculosis clearances that meet department of health guidelines; and

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- 41.(f)(2) Background checks

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- 41.(i) The primary caregiver shall notify the department of any dependent household members or changes in household composition.

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Comment:

- 41.(b)(5)(C)(ii) No acceptable evidence of valid TB screening. All copies have evidence of white out and signed by MD without a patient name
  
- 41.(b)(8) blood borne pathogen has expired for CG 1 and 2
  
- 41.(c) no evidence of in-service training annually for CG 1 or 2
- 41.(f) 41.(f)(1)41.(f)(2) Undisclosed elderly female client (private pay client) has been present since 4/2018 And an 18 year old family member without fingerprints or background checks or confidentiality training
- 41.(i) 2 undisclosed adults reside in the home as stated above

# Foster Family Home - Deficiency Report

## Foster Family Home

## Client Care and Services

[11-800-43]

- 43.(b) One bed in each home shall be reserved for Medicaid recipients, or if certified by the department for three beds, two beds shall be reserved for Medicaid recipients, unless the requirements for two private pay individuals under section 321-481, HRS are met.
- 43.(c)(2) Be based on care directions from the client to the maximum extent possible, with monitoring by the case management agency when the client is not capable of providing care directions;
- 43.(c)(3) Be based on the caregiver following a service plan for addressing the client's needs. The RN case manager may delegate client care and services as provided in chapter 16-89-100.
- 43.(c)(5)(A) Appropriate, safe techniques, and infection control procedures; and
- 43.(c)(6)(A) Be arranged and provided, in accordance with the service plan, in or outside the home according to the client's interests, needs, and capabilities; and

Comment:

43.(c)(5)(A) unable to verify due to no CMA and no daily flow sheet

43.(b) Admitting a private pay client as your first CCFFH client will be addressed under separate cover

43.(c)(2) Undisclosed private Client has no CMA

43.(c)(3) No service plan or CMA for the private client

## Foster Family Home

## Fire Safety

[11-800-46]

- 46.(b)(2) All caregivers have been trained to implement appropriate emergency procedures in the event of a fire.

Comment:

46.(b)(2) No documentation of fire drills for CG 1 or 2

## Foster Family Home

## Medication and Nutrition

[11-800-47]

- 47.(a) A licensed practical nurse or a registered nurse shall administer medications that are to be injected, unless physician orders permit a client to self-inject. The registered nurse may delegate the administration of medication as provided in chapter 16-89, section 16-89-100.
- 47.(d)(1) By order of a physician;
- 47.(d)(2) Reflected in the client's service plan; and
- 47.(e) The caregivers shall obtain specific instructions and training regarding special feeding needs of clients from a person who is registered, certified, or licensed to provide such instructions and training.

Comment:

47.(a) There is No case management agency or delegations

47.(d)(1) There is no doctors orders for the private pay client

47.(d)(2) There is no service plan for the private pay client

47.(e) There is no proof of specific instructions and training regarding special feeding needs of the private pay client

## Foster Family Home

## Client Account

[11-800-48]

- 48.(a) The home shall maintain a written accounting of the client's personal funds received and expended on the client's behalf by the home.

Comment:

48.(a) no documentation or written accounting of the client's personal funds

# Foster Family Home - Deficiency Report

## Foster Family Home

## Physical Environment

[11-800-49]

49.(e) The home shall have policies regarding smoking on the property that:

Comment:

49.(e) No evidence of a smoking policy

## Foster Family Home

## Quality Assurance

[11-800-50]

50.(a) The home shall have documented internal emergency management policies and procedures for emergency situations that may affect the client, such as but not limited to:

Comment:

50.(a)no proof of documented internal emergency management policies and procedures for emergency situations that may affect the client

## Foster Family Home

## Insurance Requirements

[11-800-51]

51.(a)(1) General;

Comment:

51.(a)(1) no proof of general insurance

## Foster Family Home

## Client Rights

[11-800-53]

53.(a) Written policies and procedures regarding the rights of the client during the client's stay in the home shall be established and a copy shall be provided to the client, or the client's legal representative, and made available to the public when requested.

53.(b)(1) Be fully informed, prior to or at the time of admission, of these rights and of all rules governing the client's conduct in the home. There shall be documentation signed by the client or the client's legal representative that this procedure has been carried out;

53.(b)(2) Have the right to reside in the home of the client's choice;

53.(b)(3) Be fully informed, prior to or at the time of admission, and during the client's stay, of services available in or through the home and related charges;

53.(b)(5) Be encouraged and assisted to exercise the client's rights, including the client's grievance rights, and to recommend changes in policies and services to the primary caregiver or outside representatives of the client's choice, free from restraint, interference, coercion, discrimination, or retaliation.

53.(b)(5)(A) The client shall be assisted in contacting individuals or agencies of the client's choice by the case management agency; and

53.(b)(5)(B) The client may present grievances to the department;

53.(b)(6) Be fully informed of the conditions under which the home may manage the client's personal financial affairs;

53.(b)(7) Not be humiliated, harassed, or threatened, and be free from physical and chemical restraints. Physical and chemical restraints may be used as specified in section 11-800-47(d);

53.(b)(8) Have the client's personal and medical records kept confidential;

53.(b)(9) Be treated with understanding, respect, and full consideration of the client's dignity and individuality, including privacy in treatment and in care of the client's personal needs;

53.(b)(10) Not be required to perform services for the home unless agreed to by the client and documented;

53.(b)(11) Have the right to associate and communicate privately with persons of the client's choice, and to send and receive personal mail and items unopened;

# Foster Family Home - Deficiency Report

53.(b)(12)	Have the right to meet with and participate in activities of social, religious, and community groups at the client's discretion;
53.(b)(13)	Retain and use personal clothing and possessions as space permits, unless to do so would infringe upon the rights of other clients;
53.(b)(15)	Have daily visiting hours and provisions for privacy established;
53.(b)(16)	Shall not have dietary restrictions used as punishment; and
53.(b)(17)	Have a right to be free from abuse.

Comment:

53.(a) no evidence of Written policies and procedures regarding the rights of the client  
no evidence of client being informed of rights and of all rules in the following areas

53.(b)(1)no evidence that client was informed of client rights and of all rules governing the client's conduct in the home

53.(b)(2)no evidence that client was informed that client right to reside in the home of the client's choice

53.(b)(3)no evidence that client was informed that client Be fully informed, prior to or at the time of admission, and during the client's stay, of services available in or through the home and related charges

53.(b)(5)no evidence that client was informed that client should be encouraged and assisted to exercise the client's rights

53.(b)(5)(A)no evidence that client was informed that client shall be assisted in contacting individuals or agencies of the client's choice

53.(b)(5)(B)no evidence of client may present grievances to the department

53.(b)(6)no evidence of client fully informed of the conditions under which the home may manage the client's personal financial affairs

53.(b)(7)no evidence of client informed of right to Not be humiliated, harassed, or threatened, and be free from physical and chemical restraints

53.(b)(8)no evidence that client was informed that client's personal and medical records kept confidential

53.(b)(9)no evidence that client was informed of right Be treated with understanding, respect, and full consideration of the client's dignity and individuality, including privacy in treatment and in care of the client's personal needs

53.(b)(10) No evidence client was informed they are Not be required to perform services for the home unless agreed to by the client and documented

53.(b)(11) No evidence client was informed they have right to associate and communicate privately with persons of the client's choice

53.(b)(12)no evidence that client was informed that client has the right to meet with and participate in activities of social, religious, and community groups

53.(b)(13)no evidence that client was informed that client Retain and use personal clothing and possessions

53.(b)(15)no evidence that client was informed that client daily visiting hours and provisions for privacy established

53.(b)(16)no evidence that client was informed that client Shall not have dietary restrictions used as punishment

53.(b)(17)no evidence that client was informed that client Have a right to be free from abuse

# Foster Family Home - Deficiency Report

**Foster Family Home**



**Records**

**[11-800-54]**

- 54.(a) Each home shall maintain an administrative notebook including but not limited to
- 54.(a)(1) Emergency procedures and an evacuation map;
- 54.(a)(2) Appropriate program policies and procedures; and
- 54.(a)(3) A list of applicable community resources.
- 54.(b) The home shall maintain separate notebooks for each client in a manner that ensures legibility, order, and timely signing and dating of each entry in black ink. Each client notebook shall be a permanent record and shall be kept in detail to:
  - 54.(b)(1) Permit effective professional review by the case management agency, and the department; and
  - 54.(b)(2) Provide information for necessary follow-up care for the client.
- 54.(c) The content of each client notebook shall be consistent with standards established by the department and shall contain:
  - 54.(c)(1) Client's vital information;
  - 54.(c)(2) Client's current individual service plan, and when appropriate, a transportation plan approved by the department;
  - 54.(c)(3) Current copies of the client's physician's orders;
  - 54.(c)(4) Client's emergency management procedures;
  - 54.(c)(5) Medication schedule checklist;
  - 54.(c)(6) Daily documentation of the provision of services through personal care or skilled nursing daily check list, RN and social worker monitoring flow sheets, client observation sheets, and significant events that may impact the life, health, safety, or welfare of, or the provision of services to the client, including but not limited to adverse events;
  - 54.(c)(7) Expenditure records; and
  - 54.(c)(8) Personal inventory.

Comment:

- 54.(a) No documentation or evidence in the following areas:
  - 54.(a)(1)no Emergency procedures and an evacuation map
  - 54.(a)(2)no Appropriate program policies and procedures
  - 54.(a)(3) no list of applicable community resources
- 54.(b) no client notebook is present in a manner that ensures legibility, order, and timely signing and dating of each entry in black ink
  - 54.(b)(1) no client binder is available for review
  - 54.(b)(2)no documents of necessary follow-up care
- 54.(c) There is no client notebook, MAR, Daily flow sheet. No documentation or evidence in the in the following areas:
  - 54.(c)(1)no documentation of Client's vital information
  - 54.(c)(2)no documentation of Client's current individual service plan
  - 54.(c)(3) No signed MD orders
  - 54.(c)(4)no documentation of emergency management procedures
  - 54.(c)(5)No Medication schedule checklist
  - 54.(c)(6)No Daily documentation
  - 54.(c)(7) No Expenditure records
  - 54.(c)(8) No Personal inventory

  
 Compliance Manager  
  
 Primary Care Giver

10/7/21  
 Date  
 10/7/21  
 Date