

Office of Health Care Assurance

State Licensing Section

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION

Facility's Name: Hernani T. Valenzuela Aguilar ARCH/EC-ARCH	CHAPTER 100.1
Address: 98-864 Kaamilo Street, Aiea, Hawaii 96701	Inspection Date: March 23, 2016 Annual

	Rules (Criteria)	Plan of Correction	Completion Date
<input checked="" type="checkbox"/>	<p>§11-100.1-15 <u>Medications</u>. (e) All medications and supplements, such as vitamins, minerals, and formulas, shall be made available as ordered by a physician or APRN.</p> <p>FINDINGS Resident #1 – Telephone order on 11/27/15 for “CVS Enema insert 1 bottle rectally once of 1 dose” recorded on the November 2015 medication record; however, was not initialed as given. There was no documentation regarding the reason it was withheld.</p> <p>Resident #1 – No physician order for “CVS Enema insert 1 bottle rectally once of 1 dose” recorded on the December 2015-March 2016 medication records.</p>	<p><i>In the future, when meds is ordered by physician or APRN, I will make sure to document & initial the reason it was withheld or changes occur.</i></p> <p><i>Review physician order & update MAR.</i></p> <p><i>To prevent this deficiency from recurring, I will make to note for reminders.</i></p>	6/22/16
<input checked="" type="checkbox"/>	<p>§11-100.1-15 <u>Medications</u>. (m) All medications and supplements, such as vitamins, minerals, and formulas, when taken by the resident, shall be recorded</p>		

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	<p>on the resident's medication record, with date, time, name of drug, and dosage initialed by the care giver.</p> <p>FINDINGS Resident #1 – "MOM" ordered "prn" was given on 2/5/16; however, the time was not recorded.</p> <p>Resident #1 – "Bisacodyl 10 mg suppository" ordered "as needed" was given on 11/27/15, 12/18/15 & 12/22/15; however, the time was not recorded.</p>	<p><i>I will make sure all medication (Routine & PRN med) are to be recorded the date, time & if given as it occur. Note written in MAR for reminder.</i></p>	<p>6/22/16</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (b)(3) During residence, records shall include:</p> <p>Progress notes that shall be written on a monthly basis, or more often as appropriate, shall include observations of the resident's response to medication, treatments, diet, care plan, any changes in condition, indications of illness or injury, behavior patterns including the date, time, and any and all action taken. Documentation shall be completed immediately when any incident occurs;</p> <p>FINDINGS Resident #1 – Progress notes did not reflect the need for and response to "prn" "MOM" or "bisacodyl suppositories" taken by the resident in December 2015, January 2016 and February 2016.</p>	<p><i>I will make sure resident's response or observation on medication given as ordered by physician are to be documented with the date & time it occurred.</i></p>	<p>6/22/16</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (b)(4) During residence, records shall include:</p> <p>Entries describing treatments and services rendered;</p> <p>FINDINGS</p>	<p><i>I make sure, all vitamins, supplements ordered by physician are recorded & included in the MAR.</i></p>	<p>6/22/16</p>

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	Resident #1 – No documentation that “Ensure 1 can per day” ordered 2/24/16 has been provided daily.		
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (f)(3) General rules regarding records:</p> <p>An area shall be provided for safe and secure storage of resident's records which must be retained in the ARCH for periods prescribed by state law;</p> <p>FINDINGS Resident #1 – No resident record prior to 6/16/15. The primary care giver (PCG) stated the prior case manager “took the record.” Resident admitted on 8/21/14.</p>	<p><i>As prescribed by State Law, I make sure all resident's records are stored & retained in ARCH for safe keeping for periods of time as stipulated.</i></p>	6/22/16
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (f)(4) General rules regarding records:</p> <p>All records shall be complete, accurate, current, and readily available for review by the department or responsible placement agency.</p> <p>FINDINGS Resident #1 – No resident record prior to 6/16/15. The PCG stated the prior case manager “took the record.” Resident admitted on 8/21/14.</p>		
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (g) All information contained in the resident's record shall be confidential. Written consent of the resident, or resident's guardian or surrogate, shall be required for the release of information to persons not otherwise authorized to receive it. Records shall be secured against loss, destruction, defacement, tampering, or use by unauthorized persons.</p>		

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	<p>There shall be written policies governing access to, duplication of, and release of any information from the resident's record. Records shall be readily accessible and available to authorized department personnel for the purpose of determining compliance with the provisions of this chapter.</p> <p>FINDINGS Resident #1 – Correction tape used in the February 2016 progress notes and October 2015, December 2015 and March 2016 medication records.</p>	<p><i>I make sure to refrain the use of correction tape. To prevent it I removed it from my office supplies.</i></p>	<p><i>6/22/16</i></p>
<p><input checked="" type="checkbox"/></p>	<p>§11-100.1-23 <u>Physical environment.</u> (g)(3)(A) Fire prevention protection.</p> <p>Type I ARCHs shall be in compliance with, but not limited to, the following provisions:</p> <p>Fire escapes, stairways and other exit equipment shall be maintained operational and in good repair and free of obstruction;</p> <p>FINDINGS Access to the front exit from Bedrooms #1 and #2, occupied by wheelchair dependent residents in the private bedrooms, was obstructed by the resident dining table and a lounge chair. In order for the residents to pass, the dining table had to be moved to the side.</p>	<p><i>In the future, I make sure to remove clutter or obstructions for safe & free access to each and all passage ways. - I have removed bulk items to prevent accidents -</i></p>	<p><i>6/22/16</i></p>
<p><input checked="" type="checkbox"/></p>	<p>§11-100.1-88 <u>Case management qualifications and services.</u> (c)(2) Case management services for each expanded ARCH resident shall be chosen by the resident, resident's family or surrogate in collaboration with the primary care giver and physician or APRN. The case manager shall:</p>		

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	<p>Develop an interim care plan for the expanded ARCH resident within forty eight hours of admission to the expanded ARCH and a care plan within seven days of admission. The care plan shall be based on a comprehensive assessment of the expanded ARCH resident's needs and shall address the medical, nursing, social, mental, behavioral, recreational, dental, emergency care, nutritional, spiritual, rehabilitative needs of the resident and any other specific need of the resident. This plan shall identify all services to be provided to the expanded ARCH resident and shall include, but not be limited to, treatment and medication orders of the expanded ARCH resident's physician or APRN, measurable goals and outcomes for the expanded ARCH resident; specific procedures for intervention or services required to meet the expanded ARCH resident's needs; and the names of persons required to perform interventions or services required by the expanded ARCH resident;</p> <p>FINDINGS Resident #1 – No nutrition care plan. The resident needs are:</p> <ul style="list-style-type: none"> • Modified consistency liquids (nectar thick) ordered 2/24/16 • Total assistance with meals (fed by care givers) • Arm circumference in lieu of monthly weights due to the inability to stand to be weighed since February 2016 • Order for Yankauers Suctioning due to drooling 	<p>The primary care giver ^{met} with the RN case manager, discussed deficiency + POC. In the future, we as a team, will address problems, review + update care plan as changes occur.</p> <p>Add'l orders from physician/APRN such as Yankauers Suctioning already included. Training + delegation provided. updated 3/24/16 by RN, CM</p>	<p>6/22/16</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-88 Case management qualifications and services. (c)(4) Case management services for each expanded ARCH resident shall be chosen by the resident, resident's family or surrogate</p>		

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	<p>in collaboration with the primary care giver and physician or APRN. The case manager shall:</p> <p>Update the care plan as changes occur in the expanded ARCH resident care needs, services and/or interventions;</p> <p><u>FINDINGS</u> Resident #1 – “Safety” care plan (updated 3/5/16) intervention noted: “FWW for ambulation...” however, the resident is wheelchair dependent.</p>	<p><i>Updated by RA Case manager 4/12/16</i></p>	

Licensee's/Administrator's Signature: *Mark T. [Signature]*

Print Name: HELVANI AGUIRRE

Date: 6/20/16