

Hawaii Dept. of Health, Office of Health Care Assurance

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION <b>AMENDED POC</b>		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>12G029</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____	(X3) DATE SURVEY COMPLETED  <b>10/06/2016</b>
NAME OF PROVIDER OR SUPPLIER  <b>THE ARC IN HAWAII - KAIMUKI A</b>		STREET ADDRESS, CITY, STATE, ZIP CODE <b>3705 MAHINA AVENUE HONOLULU, HI 96816</b>		
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9 000	INITIAL COMMENTS  A relicensing survey was conducted by the State Agency from October 4, 2016 to October 6, 2016. The census at the time of survey included 4 clients.	9 000		
9 005	11-99-4(a) ACTIVE TREATMENT PROGRAM  A plan of treatment shall be developed and implemented for each resident in order to help the residents function at their greatest physical, intellectual, social, emotional, and vocational level. This Statute is not met as evidenced by: Based on observation, medical record review, and staff interview, the facility failed to support 1 of 4 clients individual program plan.  Findings include:	9 005	9 0005 11-99-4(a) ACTIVE TREATMENT PROGRAM  <u>Systemic</u> CM's will review all clients programs with HM's and Instructors at upcoming Monthly ID team meetings to ensure program language accurately reflects each participants current level of function and ability.  <u>Quality Assurance</u> HM's to monitor clients programming daily.	12/15/16  Daily

Office of Health Care Assurance

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

*Christina Meyer* Director of Programs + Services

11/14/16

Hawaii Dept. of Health, Office of Health Care Assurance

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9 005	Continued From page 1	9 005	9 005 11-99-4(a) ACTIVE TREATMENT PROGRAM Continued  CM's to monitor at monthly ID team meetings and revise programs as needed.  CM's to monitor clients programming at minimum during quarterly home observations.	Monthly  Quarterly
9 094	11-99-9(d)(3)(A) DIETETIC SERVICES  Food shall be served in a form consistent with the needs of the residents and their ability to consume it. This Statute is not met as evidenced by: Based on observation, interview and record review the facility failed to use utensils to meet the developmental needs of the client for 1 client in the active case sample of 4. Finding includes:	9 094	9 094 11-99-9(d)(3)(A) DIETETIC SERVICES  <u>Systemic</u> For all Client's with swallow guidelines, RN's will review with HM's and Instructors at upcoming Monthly ID team meetings.  All Client's feeding and swallow guidelines will be added to annual comprehensive training for each home.  <u>Quality Assurance</u> HM's to monitor and observe for compliance with swallow guidelines daily.	12/9/16  Annually  Daily

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9 094	Continued From page 2	9 094	9 094 11-99-9(d)(3)(A) DIETETIC SERVICES Continued  RN's to complete monthly observations and ensure staff are following all clients swallow guidelines.	Monthly
9 151	11-99-15(b) INFECTION CONTROL  There shall be appropriate policies and procedures written and implemented for the prevention and control of infections and the isolation of infectious residents.  This Statute is not met as evidenced by: Based on observation, interview, and policy review the facility failed to practice the control of infections, including the instruction of other personnel in methods of infection control for 2 of 4 clients in the active case sample.  Findings include:	9 151	9 151 11-99-15(b) INFECTION CONTROL  <u>Plan of Correction</u> Both HM and ADH Instructor were reminded about infection control policies and issue of cross contamination with placing shoes on tabletops.  RN to train HM and ADH Instructor on handwashing and infection control policies and procedures.  HM and ADH Instructor will train all KA home staff and all ADH staff assigned to KA clients on handwashing and infection control policies and procedures.  <u>Systemic</u> RNM to make a flyer to be distributed to all ICF homes and ADH centers addressing handwashing and infection control policies.  RN's will include review of handwashing and infection control policies and procedures in annual comprehensive	10/7/16  11/30/16  11/30/16  12/9/16  Annually

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9 151	Continued From page 3	9 151	<p>9 151 11-99-15(b) INFECTION CONTROL Continued</p> <p>training for each home.</p> <p><u>Quality Assurance</u> HM to monitor for handwashing and infection control in the home daily.</p> <p>RN will observe and ensure staff compliance with handwashing and infection control monthly.</p>	<p>Daily</p> <p>Monthly</p>

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9 151	Continued From page 4	9 151		
9 154	<p>11-99-16(a)(3) IN-SERVICE EDUCATION</p> <p>An interview of the Nurse Manager on the morning of 10/6/16 at approximately 10:20 A.M. revealed the Day Program and Home staff should use hand sanitizer or wash their hands after touching surfaces and or other clients.</p> <p>There shall be a staff in-service education program that includes:</p> <p>In-service training shall include annually: Prevention and control of infections, fire prevention and safety, accident prevention, resident's rights, and problems and needs of the mentally retarded.</p> <p>This Statute is not met as evidenced by: Based on observations, policy reviews, and interviews the facility failed to provide on-going staff development and training in both formal and informal settings with other professional, paraprofessional, and nonprofessional staff members for 2 of 4 clients in the active case sample.</p> <p>Findings include:</p>	9 154	<p>9 154 11-99-16(a)(3) IN-SERVICE EDUCATION</p> <p>RN and CM to retrain HM and ADH</p>	11/30/16

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9 154	Continued From page 5	9 154	<p>9 154 11-99-16(a)(3) IN-SERVICE EDUCATION Continued</p> <p>instructor on treating participants with dignity and respect and following participants feeding guidelines.</p> <p>HM and ADH instructor to train all KA home staff and all ADH staff assigned to KA participants on treating participants with dignity and respect and following participants feeding guidelines.</p> <p><u>Systemic</u> At next scheduled Monthly ID team meeting, PM and Nurse Manager (RNM) will create a flyer to distribute to all HM's and be posted in all ICF homes regarding treating participants with dignity and respect and following participants feeding guidelines.</p> <p>CM's and RN's will include client dignity and feeding guidelines in annual comprehensive trainings.</p> <p><u>Quality Assurance</u> Home Managers will monitor daily that staff are treating participants with dignity and following all feeding guidelines.</p> <p>CM and RN will monitor for compliance during quarterly home observations and as needed. Findings will be documented in Quality Assurance checklist and CM and RN IE's.</p>	<p>11/30/16</p> <p>12/9/16</p> <p>Annually</p> <p>Daily</p> <p>Quarterly</p>

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9 154	Continued From page 6	9 154	9 154 11-99-16(a)(3) IN-SERVICE EDUCATION Continued  CM and RN will train staff on treating participants with dignity and respect and following participants feeding guidelines annually and as needed.	Annually

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9 154	Continued From page 7	9 154	This page intentionally left blank.	



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9 154	Continued From page 8	9 154		
9 204	<p>11-99-23(a) PHYSICIAN'S SERVICES</p> <p>Admission and ongoing orders and plans of treatment shall be in writing, and carried out by the staff of the facility including arrangement for transfer to other facilities when indicated.</p> <p>This Statute is not met as evidenced by: Based on medical record review and staff interview, the facility failed to obtain a current laboratory test for Phenobarbital levels for 1 of 2 clients.</p> <p>Findings include:</p>	9 204	<p>9 204 11-99-23(a) PHYSICIAN'S SERVICES</p> <p><u>Systemic</u> RN's will request labs for all participants with medications that require labs for appropriate monitoring and therapy at least annually.</p> <p><u>Quality Assurance</u> RN to review all client records quarterly and request labs from prescribing physicians for those medications labs for appropriate monitoring and therapy at least annually.</p>	<p>Annually</p> <p>Quarterly /Annually</p>

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9 204	Continued From page 9	9 204	<p><b>9 204 11-99-23(a) PHYSICIAN'S SERVICES Continued</b></p> <p>Pharmacist Consultant will review all client files including lab orders on a quarterly basis. Pharmacist Consultant will report findings to RN.</p> <p>RNM will complete biannual audit of all client records including labs and ensure labs are completed as needed.</p>	<p>Quarterly</p> <p>Biannually</p>