

State of Hawaii
 Department of Health
 Developmental Disabilities Division
 ADVERSE EVENT REPORT FORM

PLEASE PRINT LEGIBLY OR TYPE:

SECTION A: GENERAL INFORMATION

1. PARTICIPANT NAME: (Last, First, MI)		2. EVENT DATE: (MM/DD/YY)		3. EVENT TIME:																																									
4. DATE OF VERBAL REPORT:	5. REPORTER'S NAME:			6. VERBAL REPORT RECIPIENT:																																									
7. RELATIONSHIP TO PARTICIPANT:		8. ISLAND:		9. NAME OF REPORTER'S AGENCY: (If applicable)																																									
10. PRIMARY ADVERSE EVENT TYPE: (CHECK ONLY ONE) <input type="checkbox"/> SUSPECTED ABUSE/NEGLECT/FINANCIAL EXPLOITATION <input type="checkbox"/> INJURY FROM A KNOWN/UNKNOWN CAUSE REQUIRING MEDICAL TREATMENT <input type="checkbox"/> MEDICATION ERRORS AND/OR UNEXPECTED REACTION TO MEDICATION OR TREATMENT <input type="checkbox"/> CHANGE IN PARTICIPANT'S BEHAVIOR THAT MAY REQUIRE A NEW OR UPDATED BEHAVIOR SUPPORT PLAN <input type="checkbox"/> CHANGE IN PARTICIPANT'S HEALTH CONDITION REQUIRING MEDICAL TREATMENT <input type="checkbox"/> DEATH <input type="checkbox"/> PARTICIPANT'S WHEREABOUTS UNKNOWN <input type="checkbox"/> ANY USE OF RESTRAINT <input type="checkbox"/> ANY USE OF SECLUSION <input type="checkbox"/> ANY USE OF PROHIBITED RESTRICTIVE INTERVENTION OR PROCEDURE																																													
11. COMMENTS:																																													
12. <input type="checkbox"/> POSSIBLE MEDIA COVERAGE? If yes, check the box.		13. EVENT LOCATION: <input type="checkbox"/> Own/Family Home <input type="checkbox"/> Community <input type="checkbox"/> Program Site <input type="checkbox"/> Foster Home* <input type="checkbox"/> DOM Home* <input type="checkbox"/> ARCH* <input type="checkbox"/> Other: *Include Name of Licensed/Certified Home:																																											
14. Event occurred during billable service: <input type="checkbox"/> No <input type="checkbox"/> Yes		15. PERSON(S) PRESENT: <input type="checkbox"/> No Persons Present <input type="checkbox"/> Agency Staff <input type="checkbox"/> CD Worker <input type="checkbox"/> Caregiver <input type="checkbox"/> Unknown <input type="checkbox"/> Family <input type="checkbox"/> Other Participants																																											
		<input type="checkbox"/> Other Person 1:																																											
		<input type="checkbox"/> Other Person 2:																																											
		<input type="checkbox"/> Other Person 3:																																											
16. WHO WAS NOTIFIED? (Check all that apply) <table style="width: 100%; margin-top: 10px;"> <thead> <tr> <th style="width: 35%;"></th> <th style="width: 20%; text-align: center;"><u>Name</u></th> <th style="width: 20%; text-align: center;"><u>Date/Time</u></th> <th style="width: 25%; text-align: center;"><u>Report No.</u></th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/> Police</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td><input type="checkbox"/> Adult Protective Services (APS)</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td><input type="checkbox"/> Child Welfare Services (CWS)</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td><input type="checkbox"/> DDD Certification Unit</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td><input type="checkbox"/> Office of Health Care Assurance</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td><input type="checkbox"/> Case Manager</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td><input type="checkbox"/> Guardian</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td><input type="checkbox"/> Caregiver</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td><input type="checkbox"/> Other</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> </tbody> </table>							<u>Name</u>	<u>Date/Time</u>	<u>Report No.</u>	<input type="checkbox"/> Police	_____	_____	_____	<input type="checkbox"/> Adult Protective Services (APS)	_____	_____	_____	<input type="checkbox"/> Child Welfare Services (CWS)	_____	_____	_____	<input type="checkbox"/> DDD Certification Unit	_____	_____	_____	<input type="checkbox"/> Office of Health Care Assurance	_____	_____	_____	<input type="checkbox"/> Case Manager	_____	_____	_____	<input type="checkbox"/> Guardian	_____	_____	_____	<input type="checkbox"/> Caregiver	_____	_____	_____	<input type="checkbox"/> Other	_____	_____	_____
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17. WHAT WAS DONE? (Check all that apply)

- | | | |
|---|------------------|--|
| <input type="checkbox"/> No treatment required
<input type="checkbox"/> Treated by ambulance/emergency medical personnel
<input type="checkbox"/> Treated at Urgent Care
<input type="checkbox"/> Treated at Emergency Room
<input type="checkbox"/> Admitted to Hospital | <u>Date/Time</u> | <u>Treatment Location (Name of Facility)</u> |
|---|------------------|--|

18. **SECTION B: DISCOVERY** Fully describe the event and potential causes and/or contributory factors (e.g., WHO, WHAT, WHEN and HOW the event occurred and WHY it occurred). Attach additional pages as necessary.

19. **SECTION C: NATURE/TYPE OF ADVERSE EVENT BEING REPORTED** Check the appropriate box related to the type/nature of adverse event being reported and answer all items under that subsection. Select ONLY ONE as the primary event.

SUSPECTED ABUSE/NEGLECT/FINANCIAL EXPLOITATION

Type: Physical Psychological/Verbal Sexual Neglect Financial Exploitation

List of person(s) and relationship to participant who were present when suspected abuse/neglect occurred:

Person 1:

Person 2:

Person 3:

Person 4:

INJURY FROM A KNOWN/UNKNOWN CAUSE REQUIRING MEDICAL TREATMENT

Type: Broken bone Sprain Laceration Burn Other:

Cause: Known Unknown **Fall:** Attended Fall Unattended Fall

Accident (explain):

Location: Head Neck Face Chest Stomach Back Arm Hand

Foot Leg Other Injury Location (describe):

MEDICATION ERRORS AND/OR UNEXPECTED REACTION TO MEDICATION OR TREATMENT (Check all that apply)

Missed Dose Wrong Time Wrong Dose Documentation Error

Wrong Medication Wrong Route/Method

Medication: Over the counter Prescription

Unexpected Reaction to Medication **Unexpected Reaction to Treatment**

Who Discovered the Medication Error:

Was the MD that Prescribed the Medicaiton Notified? No Yes

Medication Name:	Medication Name:
Dose:	Dose:
Route:	Route:
Medication Name:	Medication Name:
Dose:	Dose:
Route:	Route:

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Medication Name: Dose: Route:	Medication Name: Dose: Route:
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Medication Name: Dose: Route:	Medication Name: Dose: Route:
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CHANGE IN PARTICIPANT'S BEHAVIOR THAT MAY REQUIRE A NEW OR UPDATED BEHAVIOR SUPPORT PLAN

Change in behavior New behavior

Assaultive Aggressive Threat to Others Threat to Self Sexualized Behavior Property Destruction

Other Behaviors:

Is there a current behavior support plan? Yes No

CHANGE IN PARTICIPANT'S HEALTH CONDITION REQUIRING MEDICAL TREATMENT

Chest Pain Seizure Sepsis Aspiration/Pneumonia Abdominal problem Respiratory Problem

Skin problem Decubitus GT dysfunction Other:

DEATH

The following documentation is required in Section B: Discovery (on page 1):

- Description of the circumstances surrounding the death
- Any medical resources involved at the time of death (i.e. emergency response, hospice care).

PARTICIPANT'S WHEREABOUTS UNKNOWN

Status: Unknown Found **Length of Time Missing (Duration Time in Hours):**

If found, participant's status: Injury Noted No Injury

ANY USE OF RESTRAINT

Check type of restraint used:

Chemical Restraint

Mechanical Restraint

Physical Restraint

Did the participant sustain any injuries as a result of being restrained? Yes No

ANY USE OF SECLUSION

Did the participant sustain any injuries during the use of seclusion? Yes No

ANY USE OF PROHIBITED RESTRICTIVE INTERVENTION OR PROCEDURE

Did the participant sustain any injuries during the use of a prohibited restrictive intervention or procedure? Yes No

The following questions must be completed for the following adverse event types:

- **ANY USE OF RESTRAINT**
- **ANY USE OF SECLUSION**
- **ANY USE OF PROHIBITED RESTRICTIVE INTERVENTION OR PROCEDURE**

Describe the intervention procedure.

Describe what happened before the behavior that caused the use of the restrictive intervention or procedure.

Other interventions that were attempted and the results of those interventions.

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Description of any injuries the participant sustained.

Consequences of the use of the restrictive intervention or procedure.

How the rights of the participant were restored.

What did not work that may require a new or updated PBS plan?

20. **SECTION D: REMEDIATION PLAN OF ACTION TO PREVENT RECURRENCE OF THE EVENT** (Attach additional pages as necessary).

REPORTER'S SIGNATURE:

PRINT NAME:

DATE: