



OVERVIEW OF DDD'S AMERICAN RESCUE PLAN ACT OF 2021 SPENDING PLAN



to enhance, expand, and strengthen the 1915(c) HCBS Waiver

Presentation to Stakeholders
October 15, 2021

What is the American Rescue Plan Act?

March 11, 2021

- Signed into law- supports the national vaccination program, relief to families, and struggling businesses and communities

April 1, 2021– March 31, 2022

- §9817 of ARPA provides states a 10 percentage point increase in the federal share of Medicaid-funded HCBS programs during this period of time

Sept. 14, 2021

Hawaii's ARPA Spending Plan is partially approved by CMS

March 31, 2024

- States have until this date to spend the ARPA funding

What does §9817 of the ARPA require?

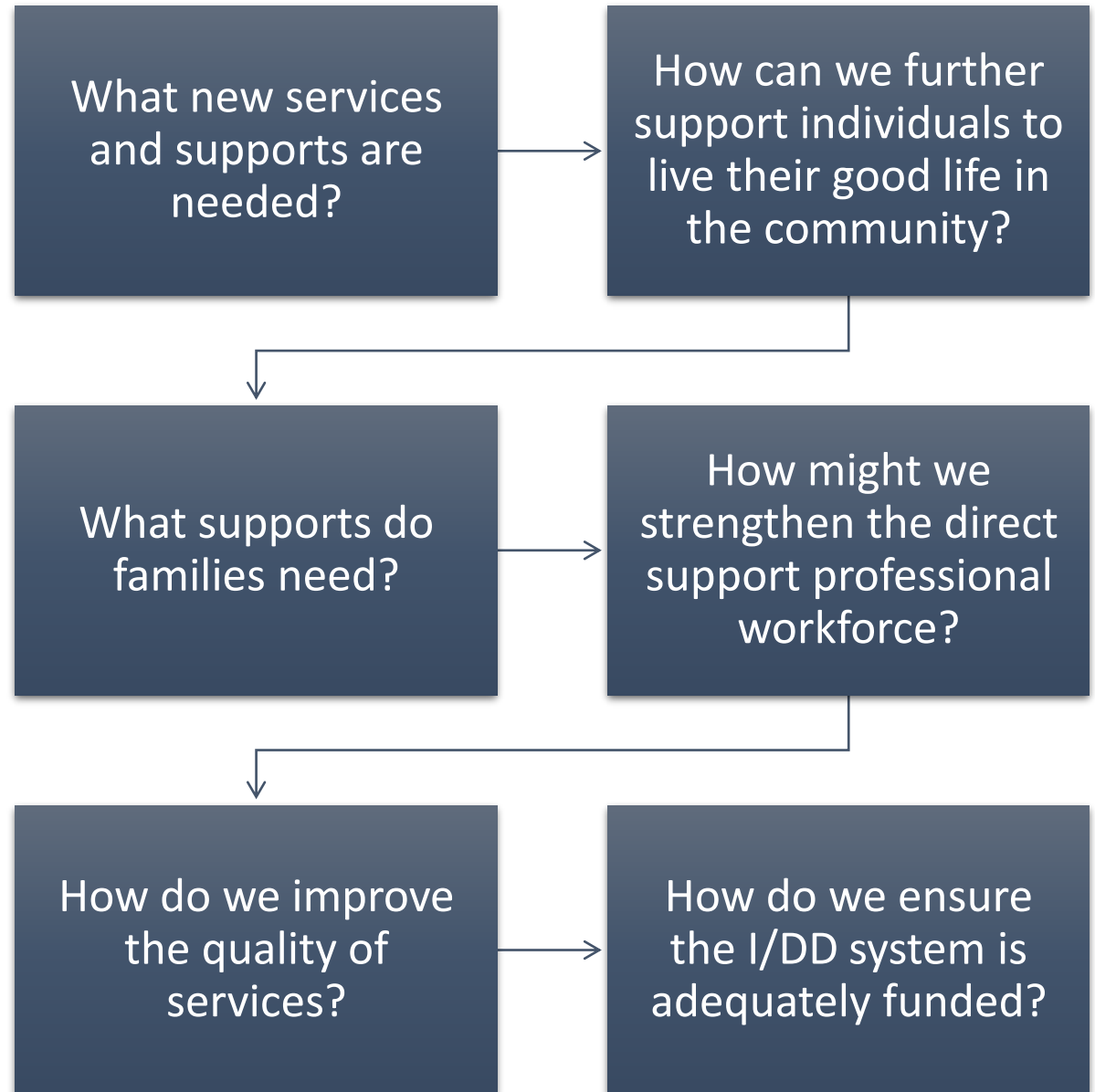
1) Additional federal funds must be used to supplement, not supplant, existing state funds in HCBS programs, which CMS has defined as maintaining eligibility, services and rates

2) States must use the enhanced funds to “implement, or supplement the implementation of, one or more activities to enhance, expand, or strengthen” Medicaid HCBS

3) States must submit a quarterly Spending Plan and Narrative. States may update the initial spending plan through the quarterly reporting.

FUNDS MUST BE SPENT ON HCBS-RELATED SERVICES & INFRASTRUCTURE

Survey and Focus Groups helped us answer:
How should Hawaii “enhance, expand, or strengthen” the I/DD Waiver?

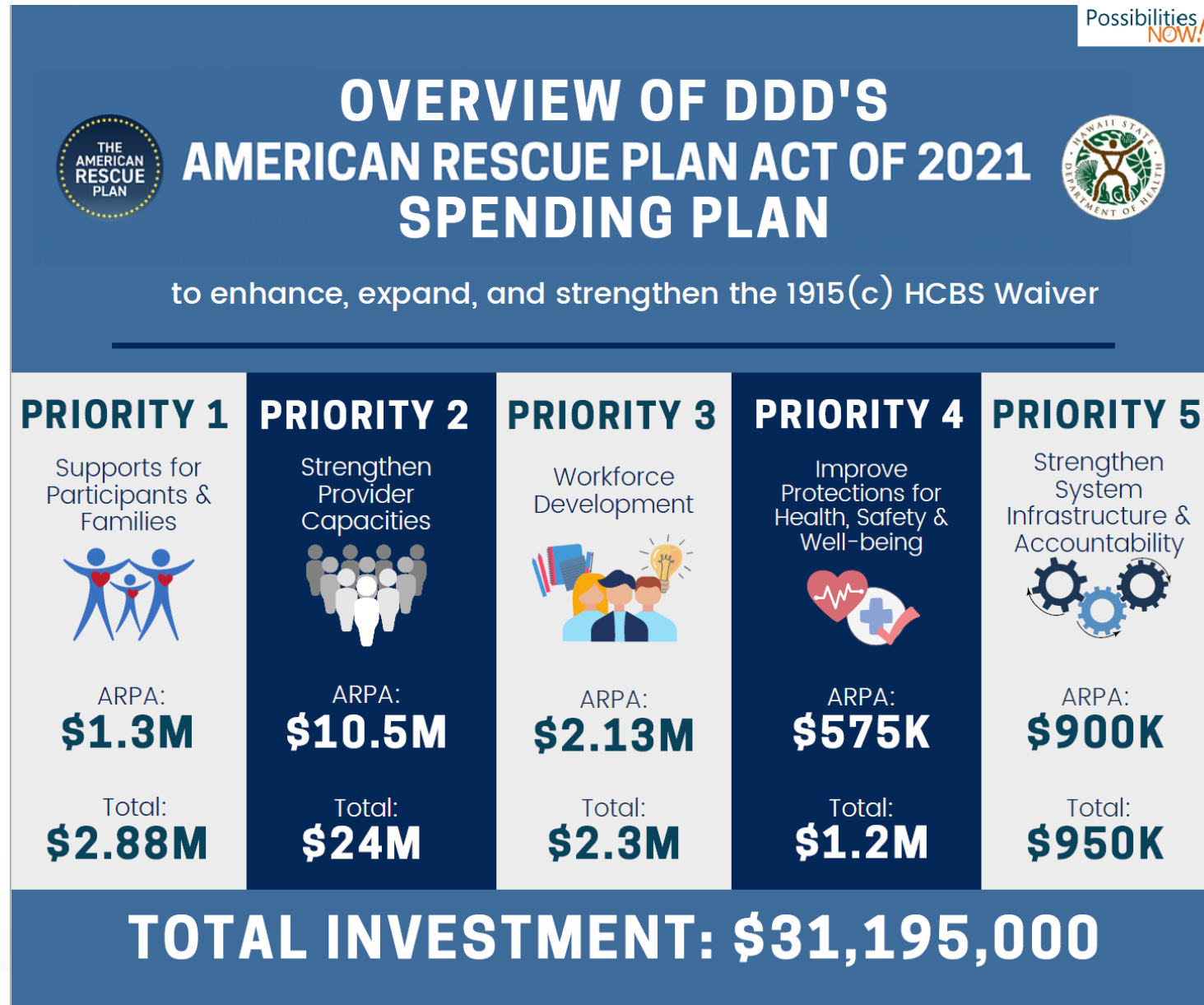




How Will Hawaii Invest?

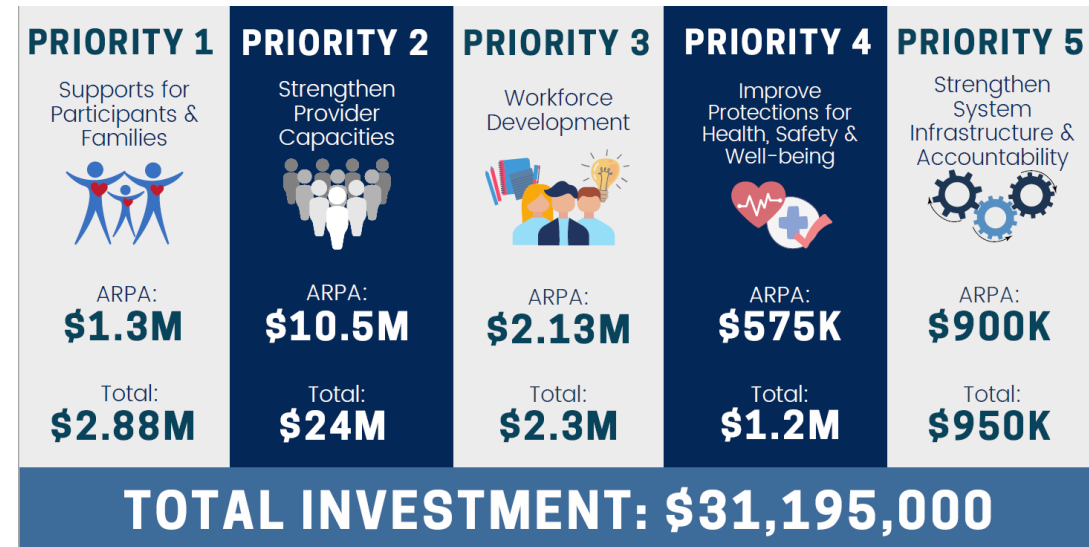
Build on current initiatives and waiver requirements to:
“enhance, expand, or strengthen” the I/DD Waiver

Overview of DDD's American Rescue Plan Act of 2021 Spending Plan – Total Investment



SPENDING PLAN OVERVIEW

- Five Priorities
 - 14 initiatives
- Total ARPA funds = \$15,375,000
 - Funds can be leveraged for additional funding estimated at \$15,695,000
- Initiatives will phase in; ARPA funds must be spent by March 31, 2024
- Initiatives:
 - Are designed to further strengthen the service system
 - Some will require future investments





Priority 1: Supports for Participants and Families

Option for Individuals with Behavioral Challenges

- New waiver service for people with complex issues
- Residential setting
- Small and individualized
- Staff with advanced skills
- Positive Approaches/
Trauma-informed
- Multi-disciplinary Team
- Start-up costs

Family-to-Family Peer Mentoring Service

- New waiver service to provide peer-to-peer support for families
- Navigate services, person-centered planning, and community resources
- Make connections to enhance individual and family resilience
- Provided by peers trained to provide mentoring

Host Participant and Family Forums

- Share experiences, gather insights, help build sustainable stakeholder participation
- Network and learn
- Annual event



Priority 2: Strengthen Provider Capacities & System Infrastructure

- **Increase Provider Payment Rates**
 - Largest Spending Plan investment
 - Increasing rates by 50% of the difference between the current rate and the recommended rate in the 2020 rate study
 - Example: If a rate is \$30 an hour and the rate study increased to \$36, the rate will be increased by \$3 to \$33 an hour.
 - Generally incorporates recommended structural changes (e.g., separate rates for AFHs and licensed homes; standardizing ResHab rates for licensed homes regardless of home size)
 - The majority of the funds must be used to increase Direct Support Professional (DSP) compensation
 - Increases will be retroactive to July 1, 2021 and will continue through June 30, 2023; consumer-directed service are the exception
- Separate webinar to be scheduled



Priority 2 : Strengthen Provider Capacities & System Infrastructure

- **Invest in Quality Management (QM)**
 - 2021 Waiver Standards require providers to build an internal QM program
 - Funds will offer technical assistance and training to build cultures of quality
- **Support Community Integration**
 - Build provider capacity to deliver quality integrated services
 - Develop tools for DDD Case Managers to collect information on participant experiences with community integration
- **Advance Competitive Integrated Employment (CIE)**
 - Training and technical assistance to increase providers' capacity to deliver waiver employment services
 - Work with day service providers to expand CIE
 - Plan with stakeholders, train participants, and families
 - Engage business community
- **Support Community Navigator Practice Development**
 - Help providers build training curricula
 - Build a statewide community of practice to share best practices



Priority 3: Workforce Development

Goal: Develop the Direct Support Professional Workforce

- Improve service quality
- Provide a career ladder for the DSP workforce
- Support providers to improve staff recruitment and retention

Short-term and long-term strategies:

- Two grant programs
 1. Reimburse providers for cost for DSP training
 2. Financial incentives for providers and staff for successful completion of certification
- To support sustainability and on-going investment
 - Stakeholder-informed process for adopting/developing a DSP certification or credentialing framework
 - Enhanced rates for DSPs based on certification/credentialing levels

Four phases: Research, Engage, Design, Implement

1. Research- existing DSP training framework
2. Engage- Stakeholder-informed process for adopting a framework based on community values
3. Design- Identify framework including design of tiered rates and operations to support
4. Implement- develop and implement the plan (will require legislative support)



Priority 4: Improve Protections for Health, Safety, and Well-being

Positive Approaches for Challenging Behaviors

- Equip stakeholders with strategies to improve understanding of behavior, recognize strengths, and establish a system of trauma-informed care that treats people with dignity and respect
- Shift difficult interactions to healing relationships
- Help establish policies and processes that support positive changes

Improve Critical Incident Response through Analytics

- Analytics solution built into INSPIRE IT platform
- Use adverse event data interfaced with Medicaid claims data to detect unreported events and provide information to identify opportunities for improvement



Priority 5: Strengthen System Infrastructure and Accountability

- **Conduct System Evaluation**

- Measure if intended goals of system changes are being achieved
 - Measure outcomes and other impacts
 - Has there been a shift to more community integration through services, standards, and rates?
 - Prevalence of use of exceptions for Individual Supports Budgets
 - Early information on impact of initiatives in spending plan
 - Goals achieved?
 - Wage and benefit data
 - Turnover rates
 - Are rate increases reaching DSPs

- **Strengthen Provider Monitoring**

- Improve monitoring methodologies, test tools, strengthen monitoring program

- **Implement the Spending Plan**

- Project management and support

Next Steps

- Planning teams
- Waiver amendment:
 - Rate increases (Appendix K)
 - Residential supports for individuals who experience challenges
 - Family to family peer support
- Implementation
- Quarterly reporting
- Supplement not supplant strategy
- Rate change webinar for providers