

## Foster Family Home - Corrective Action Report

Provider ID: 1-140019

Home Name: Jennivic Batle, CNA

Review ID: 1-140019-2

94-520 Koaleo Street\*

Reviewer:

Waipahu HI 96797

Begin Date: 1/27/2015

End Date:

10/6/2015

### Foster Family Home Required Certificate [17-1454-6]

6.(d)(1) Comply with all applicable requirements in this chapter; and

Comment:

6.(d)(1)  
Review for recertification. CAP issued with closing date of 2/27/15. Deficiencies listed in separate sections.

### Foster Family Home Background Checks [17-1454-7.1]

7.1.(a)(1) Be subject to criminal history record checks in accordance with section 846-2.7, HRS;

7.1.(a)(2) Be subject to adult protective service perpetrator checks if the individual has direct contact with a client; and

Comment:

7.1.(a)(1) No second fingerprinting for PCG.

7.1.(a)(2)  
SCG 1 No second APS/CAN

### Foster Family Home Information Confidentiality [17-1454-13.1]

13.1.(b)(5) Provide training to all employees, and for homes, other adults in the home, on their confidentiality policies and procedures and client privacy rights.

Comment:

13.1.(b)(5)  
No confidentiality training.

## Foster Family Home - Corrective Action Report

Foster Family Home

Personnel and Staffing

[17-1454-41]

- 41.(b)(5) Provide non-medical transportation through possession of a valid Hawaii driver's license and access to an insured vehicle, or an alternative approved by the department.
- 41.(b)(7) Have a current tuberculosis clearance that meets department of health guidelines; and
- 41.(b)(8) Have documentation of current training in blood borne pathogen and infection control, cardiopulmonary resuscitation, and basic first aid.
- 41.(c) The primary caregiver shall attend twelve hours, and the substitute caregiver shall attend eight hours, of in-service training annually which shall be approved by the department as pertinent to the management and care of clients. The primary caregiver shall maintain documentation of training received by all caregivers, in the caregiver file in the home.
- 41.(e) The primary caregiver shall identify all qualified substitute caregivers, approved by the department, who provide services for clients. The primary caregiver shall maintain a file on the substitute caregivers with evidence that the substitute caregivers meet the requirements specified in this section.

Comment:

- 41.(b)(5)  
PCG does not drive clients. She mainly uses Handivan but this is not listed on the alternative drive plans.
- 41.(b)(7)  
No current TB for PCG.
- 41c:  
CG3 needs 8 CEUS.
- 41.(b)(8)  
No current BBP for PCG AND CG2.No CPR for PCG.
- 41.(e)  
PCG is using her mother as an SCG but has never applied for her to be an SCG for the home. She may not use her as an SCG until she is approved.

Foster Family Home

Fire Safety

[17-1454-45]

- 45.(a) The home shall conduct, document, and maintain a record, in the home, of unannounced fire drills at different times of the day, evening, and night. Fire drills shall be conducted at least monthly under varied conditions and shall include the testing of smoke detectors.
- 45.(b)(2) All caregivers have been trained to implement appropriate emergency procedures in the event of a fire.

Comment:

- 45.(b)(2)  
Fire drills are being conducted, but only by PCG.

# Foster Family Home - Corrective Action Report

Foster Family Home      Quality Assurance      [17-1454-48.1]

- 48.1.(a)      The home shall have documented internal emergency management policies and procedures for emergency situations that may affect the client, such as but not limited to:
- 48.1.(a)(1)      Sudden illness or accident;
- 48.1.(a)(2)      Death;
- 48.1.(a)(3)      Violent acts or abuse;
- 48.1.(a)(4)      Natural disasters;
- 48.1.(a)(5)      Fire; and
- 48.1.(a)(6)      Power and telephone outage

Comment:

48.1:  
No emergency disaster plan.

Foster Family Home      Fiscal Requirements      [17-1454-49.1]

- 49.1.(a)      The home shall have adequate resources to finance its services in accordance with the provisions of this chapter.
- 49.1.(b)      The home shall maintain fiscal records, documents and other evidence that sufficiently and properly reflect all funds received, and all direct and indirect expenditures of any nature related to the home's operation.
- 49.1.(c)      All fiscal related material shall be maintained by the home in accordance with generally accepted accounting principles, in form conducive to sound and efficient fiscal management and audit.

Comment:

49.1 No budget

Foster Family Home      Client Rights      [17-1454-50]

- 50.(b)(15)      Have daily visiting hours and provisions for privacy established;

Comment:

50.(b)(15)  
No visiting hours.

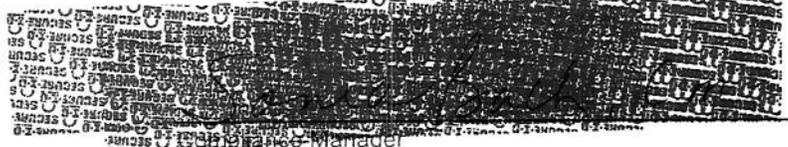
Foster Family Home      Records      [17-1454-52]

- 52.(b)(1)      Permit effective professional review by the case management agency, and the department; and
- 52.(c)(6)      Daily documentation of the provision of services through personal care or skilled nursing daily check list, RN and social worker monitoring flow sheets, client observation sheets, and significant events that may impact the life, health, safety, or welfare of, or the provision of services to the client, including but not limited to adverse events;

Comment:

52.(b)(1)  
Most paperwork in the pcg book is under the name of another PCG.

52.(c)(6)  
Client 1:PCG has client on \_\_\_\_\_, as told to her by previous care home, \_\_\_\_\_ Case manager notes  
also state      There is no order present in the file for this restriction.



Jenine Battle  
Primary Care Giver

2/28/15  
Date

5/1/15  
Date

May 15, 2015

17-1454-7.1.a.1 **PCG no second fingerprint.** PCG has submitted the second fingerprint. Home is in the process of getting an exemption from Fieldprint. The exemption form has been submitted to CTA along with the proof of when it was sent out to Fieldprint and when they received it. Home will send CTA a copy of the exemption when received. Home will use a computer program to track when personnel requirements are due to prevent any requirement from expiring in the future.

17-1454-7.1.a.2 **SCG 1 no second APS/CAN.** The home received SCG 1 second APS/CAN on February 12, 2015. It is on file in the home personnel record. Home will use a computer program to track when personnel requirements are due to prevent any requirement from expiring in the future. Home has submitted SCG 1 second APS/CAN to CTA see attached.

17-1454-13.1.b.5 **No confidentiality training.** Home will ensure that all SCGs get trained for confidentiality and privacy rights with the patient's space and belongings and have a signed document kept in the personnel record. Attached is the signed confidentiality training for SCG 1 and SCG 2.

17-1454-41.b.5 **PCG does not drive clients. PCG mainly uses Handi-van but it is not listed on the alternative drive plans.** Home will ensure to update the transportation plan if needed and send it to CTA right away. Attached is the new alternate transportation plan to CTA for approval with TheHandi-van and Ohana Transportation listed too.

17-1454-41.b.7 **No current TB for PCG.** Home received a current 2015 TB clearance for PCG on January 29, 2015. It is on file in the home personnel record. Home will use a computer program to track when personnel requirements are due to prevent any requirement from expiring in the future. Attached is the TB clearance for PCG.

17-1454-41c **needs 8 CEUS.** Home received **in-service training certificate** for August 16, 2014. Home will ensure that all SCGs and PCG attends in-service trainings that is scheduled and listed in the AFHA of Hawaii newsletter that is mailed out. Home will also keep it the personnel record. Attached is **in-service training certificate** with a total of 9 hours.

17-1454-41.b.8 **No current BBP for PCG and No CPR for PCG.** Home received PCG's and **BBP** and PCG's current CPR for 2015. Home will use a computer program to track when personnel requirements are due to prevent any requirement from expiring in the future. Attached is PCG's and **BBP** and PCG's CPR.

17-1454-41.e **PCG is using her mother as an SCG but has never applied for her to be an SCG for the home. She may not use her as an SCG until she is approved.** Home will ensure that all SCGs are approved by CTA before being used in the home. Home removed papers works from the binder of SCGs that are not approved by CTA.

17-1454-45.b.2 **Fire drills are being conducted, but only by PCG.** Home will ensure that all SCGs are trained and conduct the fire drills with the supervision of PCG every month. Attached is January 2015 fire drill that was conducted by SCG 1.

May 15, 2015

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17-1454-48.1 **No emergency disaster plan.** Home will ensure that emergency plan and procedure are kept in the personnel record, SCGs and clients are trained, and is updated every year if needed. Attached are the emergency plan and procedure. Emergency plan consist of events of natural disasters and what home will do in those types of events. Emergency procedure consist of events of bodily injuries, illness, psychiatric crisis, or death, and what steps will be taken in those types of events.

17-1454-49.1 **No budget.** Home will ensure that budget is updated monthly, yearly, and kept in the personnel record. Attached is 2014 and 2015 budget.

17-1454-50.b.15 **No visiting hours.** Home will ensure that the visiting hours are kept in the personnel record and is given to client's family with each admission. Attached is the visiting hours sheet.

17-1454-52.b.1 **Most paperwork in the PCG book is under the name of another PCG.** Home will ensure that all paperwork of PCG and SCGs are under the right name in the book. Home has fixed the PCG book.

17-1454-52.c.6 **Client 1:** PCG has client on \_\_\_\_\_, as told to her by previous care home, \_\_\_\_\_ Case manager notes also state \_\_\_\_\_ . There is no order present in the file for this restriction. PCG called client 1's doctor and the doctor said, "Client 1 is on \_\_\_\_\_ because of \_\_\_\_\_. Client 1 is on \_\_\_\_\_ ." Home will ensure that paperwork in client's file is in order and checked by the doctor and case manager every month.

If there is anything else needed, please give me a call.

Thank you,

Jennivic Batle

