

Office of Health Care Assurance

State Licensing Section

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION

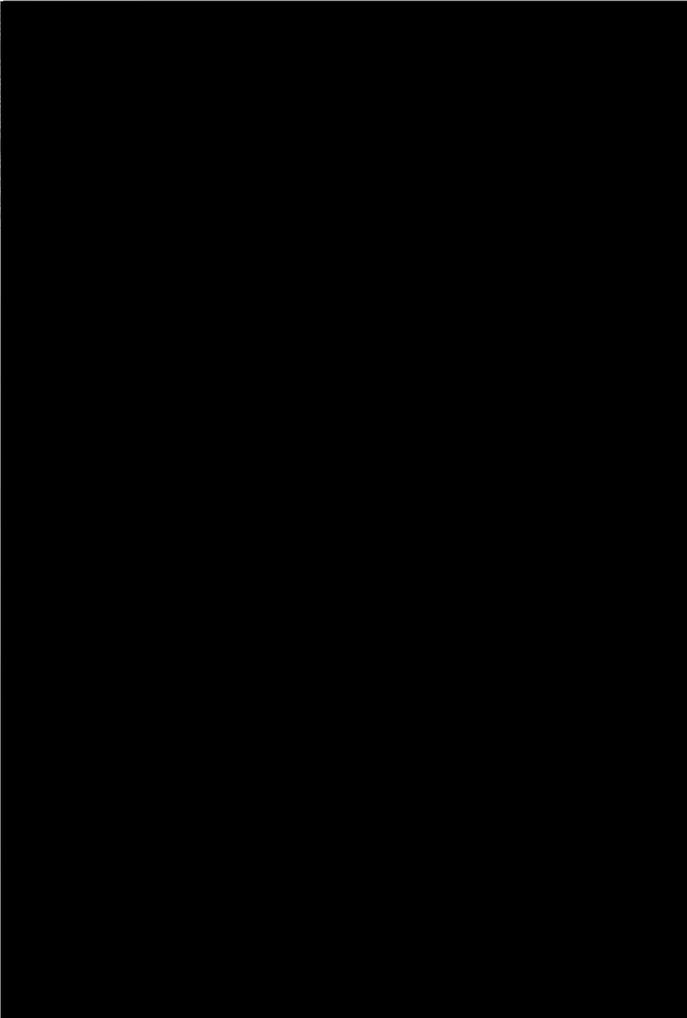
Facility's Name: Hale Malamalama Mauka	CHAPTER 100.1
Address: 246 Moomuku Place, Honolulu, Hawaii 96821	Inspection Date: May 13, 2015 Annual

	Rules (Criteria)	Plan of Correction	Completion Date
<input checked="" type="checkbox"/>	<p>§11-100.1-9 Personnel, staffing and family requirements. (e)(3) The substitute care giver who provides coverage for a period less than four hours shall:</p> <p>Be currently certified in first aid;</p> <p>FINDINGS [REDACTED] No first aid certification. Submit a copy for each with the plan of correction (POC).</p>	<p>11-100.1-9 [REDACTED]</p> <p>Our personnel office will maintain a list of employees that will show first aid certification expiration dates. Reminders will be provided to employees one month prior to the expiration of the certificate.</p>	07/18/15
<input checked="" type="checkbox"/>	<p>§11-100.1-9 Personnel, staffing and family requirements. (e)(4) The substitute care giver who provides coverage for a period less than four hours shall:</p> <p>Be trained by the primary care giver to make prescribed medications available to residents and properly record such action.</p>	<p>11-100.1-9 Personnel, Staffing and Family Requirements (e)(4) The PCG will provide inservice training to the SCGs. She will initiate a medication training log to ensure all care givers are trained to make prescribed medications available to residents.</p>	07/31/15

<input checked="" type="checkbox"/>	<p>§11-100.1-12 <u>Emergency care of residents and disaster preparedness.</u> (b) The licensee shall maintain a first aid kit for emergency use for each Type I ARCH.</p> <p><u>FINDINGS</u> First aid kit contained the following:</p> <ul style="list-style-type: none"> • Neosporin ointment • Used tube of triple antibiotic ointment • Used tube of bacitracin ointment 	<p>11-100.1-12 Emergency Care of Residents and Disaster Preparedness (b)</p> <p>[REDACTED]</p> <p>Monthly random checks will be conducted by the RN manager to ensure that the deficient practice will not recur.</p>	<p>05/13/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-13 <u>Nutrition.</u> (d) Current menus shall be posted in the kitchen and in a conspicuous place in the dining area for the residents and department to review.</p> <p><u>FINDINGS</u> Current menu was not posted in the resident dining area.</p>	<p>11-100.1-13 Nutrition (d) Menus have been posted in the dining area for the residents and the department to review. In addition, daily menus are displayed on a dry erase board located on the dining table.</p> <p>Random checks will be conducted by the RN manager to ensure that the deficient practice will not recur.</p>	<p>05/15/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-14 <u>Food sanitation.</u> (f) Toxic chemicals and cleaning agents, such as insecticides, fertilizers, bleaches and all other poisons, shall be properly labeled and securely stored apart from any food supplies.</p> <p><u>FINDINGS</u> Toxic chemicals in an unlocked closet.</p>	<p>11-100.1-14 Food Sanitation (f) The staff has locked the closet containing the toxic chemicals and cleaning agents. In addition, a sign was posted as a reminder to keep the door locked. Ongoing inservice will be provided to all care givers to lock the closet at all times.</p>	<p>05/13/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-15 <u>Medications.</u> (e) All medications and supplements, such as vitamins, minerals,</p>		

and formulas, shall be made available as ordered by a physician or APRN.

FINDINGS



11-100.1-15 Medications (e)

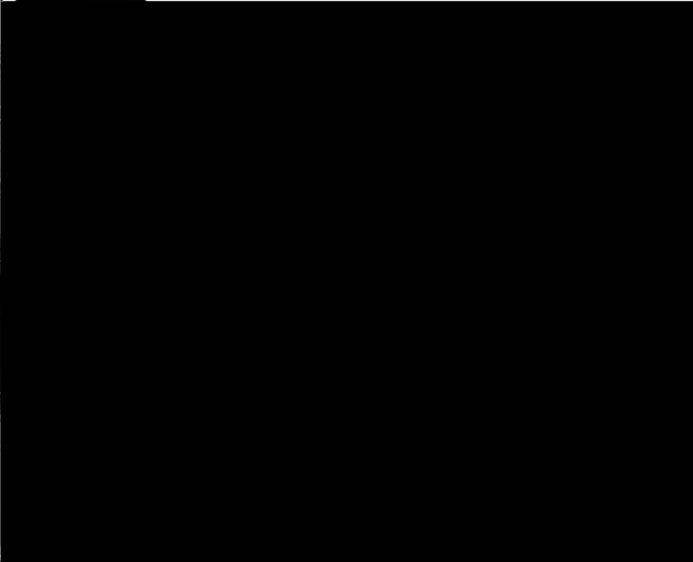
The PCG obtained a new physician order for medications indicated, including antibiotic ointments.

The PCG will conduct medication reconciliations weekly to ensure that the right doses are given.

The PCG will provide instruction to all SCGs for new medication orders. This is to ensure that specific instructions are being communicated and to prevent missing a dose.

Ongoing random checks during medication pass will be conducted by the RN manager to ensure that the deficient practice will not recur.

05/19/15

	<p>FINDINGS</p> 		
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (b)(3) During residence, records shall include:</p> <p>Progress notes that shall be written on a monthly basis, or more often as appropriate, shall include observations of the resident's response to medication, treatments, diet, care plan, any changes in condition, indications of illness or injury, behavior patterns including the date, time, and any and all action taken. Documentation shall be completed immediately when any incident occurs;</p> <p>FINDINGS</p> 	<p>11-100.1-17 Records and Reports (b)(3) Ongoing inservice will be provided to all SCGs to document incidents immediately after it occurs.</p> <p>A log will be kept to help monitor incidents and to prevent the deficient practice from recurring.</p>	<p>07/31/15</p>

	<p>[REDACTED] and [REDACTED] written on [REDACTED]</p>		
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (b)(4) During residence, records shall include:</p> <p>Entries describing treatments and services rendered;</p> <p>FINDINGS [REDACTED]</p>	<p>11-100.1-17 Records and Reports (b)(4) The PCG will obtain a physician's order for all wound care treatments. Each treatment will be documented in the resident's binder.</p> <p>Ongoing inservice for all care givers will be provided on recordkeeping when providing treatment.</p>	05/19/15
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (c) Unusual incidents shall be noted in the resident's progress notes. An incident report of any bodily injury or other unusual circumstances affecting a resident which occurs within the home, on the premises, or elsewhere shall be made and retained by the licensee or primary care giver under separate cover, and shall be made available to the department and other authorized personnel. The resident's physician or APRN shall be called immediately if medical care may be necessary.</p> <p>FINDINGS [REDACTED]</p>	<p>11-100.1-17 Records and Reports (c) The PCG will initiate an accident/incident log that will be kept in a separate folder.</p> <p>Ongoing inservice for all care givers will be provided on incident reporting.</p>	05/15/15

	[REDACTED]		
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (f)(1) General rules regarding records:</p> <p>All entries in the resident's record shall be written in black ink, or typewritten, shall be legible, dated, and signed by the individual making the entry;</p> <p>FINDINGS [REDACTED] - Blue ink used on the May 2015 medication record.</p>	<p>11-100.1-17 Records and Reports (f)(1) Ongoing inservice will be provided to remind all care givers to use only black ink for documenting information. We have removed blue ink pens from the facility to ensure that only black ink pens are used.</p>	<p>05/13/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (h)(1) Miscellaneous records:</p> <p>A permanent general register shall be maintained to record all admissions and discharges of residents;</p> <p>FINDINGS [REDACTED]</p>	<p>11-100.1-17 Records and Reports (h)(1) [REDACTED] Ongoing inservice will be provided so that the deficient practice will not recur.</p>	<p>05/13/15</p>

<input checked="" type="checkbox"/>	<p>§11-100.1-19 <u>Resident accounts.</u> (a) The conditions under which the primary care giver agrees to be responsible for the resident's funds or property shall be explained to the resident and the resident's family, legal guardian, surrogate or representative and documented in the resident's file. All single transfers with a value in excess of one hundred dollars shall be supported by an agreement signed by the primary care giver and the resident and the resident's family, legal guardian, surrogate or representative.</p> <p><u>FINDINGS</u> ██████████ Responsibility for resident's funds was not established.</p>	<p>11-100.1-19 Resident Accounts (a) Responsibility for resident's funds will be established upon admission and readmission of the resident by the PCG.</p> <p>The PCG will ensure that the deficient practice will not recur. Ongoing inservice will be provided by the RN manager.</p>	<p>07/31/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-23 <u>Physical environment.</u> (g)(3)(B) Fire prevention protection.</p> <p>Type I ARCHs shall be in compliance with, but not limited to, the following provisions:</p> <p>There shall be a clear and unobstructed access to a safe area of refuge;</p> <p><u>FINDINGS</u> Access to the area of refuge from the second exit (back of facility) obstructed by an automobile.</p>	<p>11-100.1-23 Physical Environment (g)(3)(B) Signs were posted stating, "No Parking, Access Aisle" on 06/28/15. This is to remind staff and visitors that they should not block the access path to the safe area of refuge.</p>	<p>06/28/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-23 <u>Physical environment.</u> (g)(3)(I) Fire prevention protection.</p> <p>Type I ARCHs shall be in compliance with, but not limited to, the following provisions:</p> <p>Each resident of a Type I home must be certified by a physician that the resident is ambulatory and capable of following directions and taking appropriate action for self-preservation under emergency conditions, except that a</p>	<p>11-100.1-23 Physical Environment (g)(3)(1) The PCG will ensure that a new self-preservation certificate is obtained at the time of admission and readmission.</p> <p>Ongoing inservice will be provided by the RN manager.</p>	<p>07/31/15</p>

<p>maximum of two residents, not so certified, may reside in the Type I home provided that either:</p> <p>FINDINGS</p> <p>[REDACTED]</p>		
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Licensee/Administrator's Signature:

Print Name:

Date:

[REDACTED]

07/17/15

Office of Health Care Assurance

State Licensing Section

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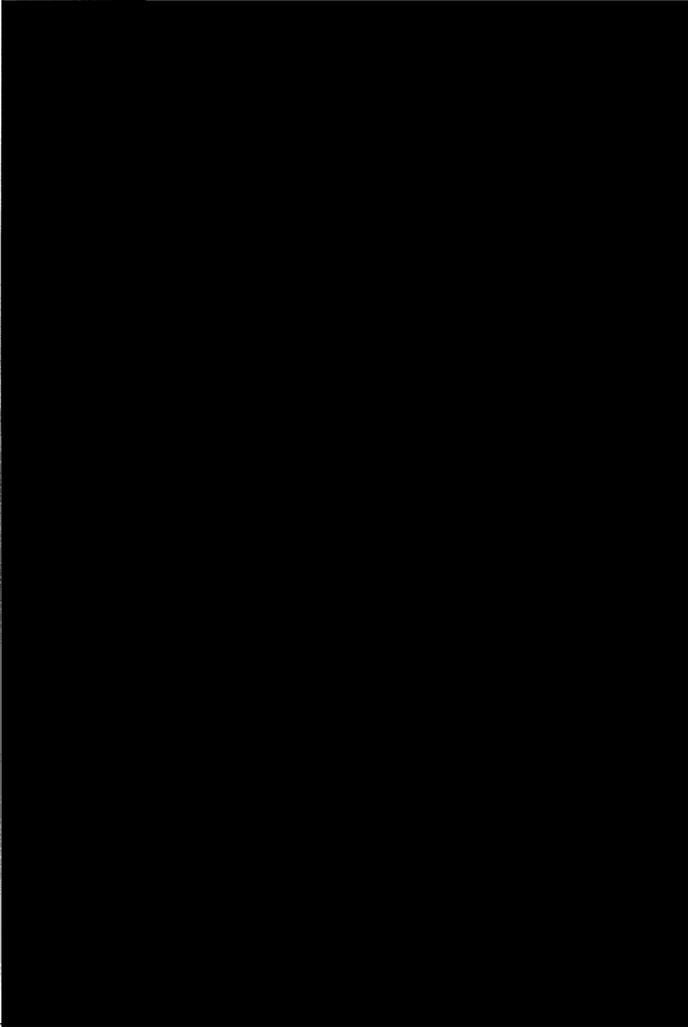
	Rules (Criteria)	Plan of Correction	Completion Date
<input checked="" type="checkbox"/>	<p>§11-100.1-9 <u>Personnel, staffing and family requirements.</u> (e)(3) The substitute care giver who provides coverage for a period less than four hours shall:</p> <p>Be currently certified in first aid;</p> <p>FINDINGS <div style="background-color: black; width: 100%; height: 1em; margin-bottom: 5px;"></div> No first aid certification. Submit a copy for each with the plan of correction (POC).</p>	<p>11-100.1-9 Personnel, Staffing and Family Requirements (e)(3) <div style="background-color: black; width: 100%; height: 40px; margin-bottom: 5px;"></div> Our personnel office will maintain a list of employees that will show first aid certification expiration dates. Reminders will be provided to employees one month prior to the expiration of the certificate.</p>	07/18/15
<input checked="" type="checkbox"/>	<p>§11-100.1-9 <u>Personnel, staffing and family requirements.</u> (e)(4) The substitute care giver who provides coverage for a period less than four hours shall:</p> <p>Be trained by the primary care giver to make prescribed medications available to residents and properly record such action.</p>	<p>11-100.1-9 Personnel, Staffing and Family Requirements (e)(4) The PCG will provide inservice training to the SCGs. ██████ will initiate a medication training log to ensure all care givers are trained to make prescribed medications available to residents.</p>	07/31/15

	<p>FINDINGS [REDACTED] -- No documentation of training to make medications available.</p>		
<input checked="" type="checkbox"/>	<p>§11-100.1-10 <u>Admission policies.</u> (a) Type I ARCHs shall admit residents requiring care as stated in section 11-100.1-2. The level of care needed by the resident shall be determined and documented by that resident's physician or APRN prior to admission. Information as to each resident's level of care shall be obtained prior to a resident's admission to a Type I ARCH and shall be made available for review by the department, the resident, the resident's legal guardian, the resident's responsible placement agency, and others authorized by the resident to review it.</p> <p>FINDINGS [REDACTED]</p>	<p>11-100.1-10 Admission Policies (a) The PCG will ensure that the resident's physician or APRN complete a level of care evaluation for all readmissions.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will utilize the ARCH/Expanded ARCH Resident Admission/Re-Admission Check List to ensure that the level of care is documented prior to readmission. The checklist will be part of the admission/readmission packet for all residents. In addition, the RN manager will provide inservice to the PCG on readmission procedures to ensure that the deficient practice will not recur.</p>	08/24/15
<input checked="" type="checkbox"/>	<p>§11-100.1-10 <u>Admission policies.</u> (d) The Type I ARCH shall only admit residents at appropriate levels of care. The capacity of the Type I ARCH shall also be limited by this chapter, chapter 321, HRS, and as determined by the department.</p> <p>FINDINGS [REDACTED]</p>	<p>11-100.1-10 Admission Policies (d) [REDACTED]</p> <p>Prior to admission or readmission, residents are to be evaluated by a physician or APRN to determine the appropriate level of care. The PCG and/or SCG will discuss this with the resident's family and accept or decline admission based on the appropriate level of care. This is to ensure proper placement of the resident.</p>	05/18/15

<input checked="" type="checkbox"/>	<p>§11-100.1-12 <u>Emergency care of residents and disaster preparedness.</u> (b) The licensee shall maintain a first aid kit for emergency use for each Type I ARCH.</p> <p><u>FINDINGS</u> First aid kit contained the following:</p> <ul style="list-style-type: none"> • Neosporin ointment • Used tube of triple antibiotic ointment • Used tube of bacitracin ointment 	<p>11-100.1-12 Emergency Care of Residents and Disaster Preparedness (b)</p> <p>Monthly random checks will be conducted by the RN manager to ensure that the deficient practice will not recur.</p>	05/13/15
<input checked="" type="checkbox"/>	<p>§11-100.1-13 <u>Nutrition.</u> (d) Current menus shall be posted in the kitchen and in a conspicuous place in the dining area for the residents and department to review.</p> <p><u>FINDINGS</u> Current menu was not posted in the resident dining area.</p>	<p>11-100.1-13 Nutrition (d) Menus have been posted in the dining area for the residents and the department to review. In addition, daily menus are displayed on a dry erase board located on the dining table.</p> <p>Random checks will be conducted by the RN manager to ensure that the deficient practice will not recur.</p>	05/15/15
<input checked="" type="checkbox"/>	<p>§11-100.1-14 <u>Food sanitation.</u> (f) Toxic chemicals and cleaning agents, such as insecticides, fertilizers, bleaches and all other poisons, shall be properly labeled and securely stored apart from any food supplies.</p> <p><u>FINDINGS</u> Toxic chemicals in an unlocked closet.</p>	<p>11-100.1-14 Food Sanitation (f) The staff has locked the closet containing the toxic chemicals and cleaning agents. In addition, a sign was posted as a reminder to keep the door locked. Ongoing inservice will be provided to all care givers to lock the closet at all times.</p>	05/13/15
<input checked="" type="checkbox"/>	<p>§11-100.1-15 <u>Medications.</u> (e) All medications and supplements, such as vitamins, minerals,</p>		

and formulas, shall be made available as ordered by a physician or APRN.

FINDINGS



11-100.1-15 Medications (e)

The PCG will provide instruction to all SCGs on new medication orders. This is to ensure that special instructions are being communicated and that staff follows these instructions as noted on the label.

Steps to ensure that the deficient practice will not recur:
The PCG will utilize the ARCH/Expanded ARCH Resident Admission/Re-Admission Check List to ensure that medication orders/treatment orders are obtained. The PCG will also initiate a new medication record upon readmission to reflect current physician orders (i.e. parameters and /or "as needed" instructions). The PCG will provide instruction to all SCGs for new medication orders. This is to ensure that special instructions noted on the label and physician's orders are being followed.

The PCG or the RN manager will conduct random checks during medication pass to ensure that the deficient practice will not recur.

08/24/15

	<p>[REDACTED]</p>		
<input checked="" type="checkbox"/>	<p>§11-100.1-15 <u>Medications.</u> (m) All medications and supplements, such as vitamins, minerals, and formulas, when taken by the resident, shall be recorded on the resident's medication record, with date, time, name of drug, and dosage initialed by the care giver.</p> <p>FINDINGS</p> <p>[REDACTED]</p>	<p>11-100.1-15 Medications (m) The SCGs assigned to the night shift will be given the task to monitor medication records for accuracy and missing signatures. This is to ensure that all medications are properly administered.</p> <p>The PCG will provide inservice to all care givers on proper medication recordation.</p>	<p>05/13/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (a)(1) The licensee or primary care giver shall maintain individual records for each resident. On admission, readmission, or transfer of a resident there shall be made available by the licensee or primary care giver for the department's review:</p> <p>Documentation of primary care giver's assessment of resident upon admission;</p> <p>FINDINGS</p> <p>[REDACTED]</p>	<p>11-100.1-17 Records and Reports (a)(1) The PCG will ensure that the Resident Admission assessment is complete for all readmissions.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will utilize the ARCH/Expanded ARCH Resident Admission/Re-Admission Check List to ensure that all documents are completed. The RN manager will review records periodically to ensure that the deficient practice will not recur.</p>	<p>08/24/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (a)(6) The licensee or primary care giver shall maintain individual records for each resident. On admission, readmission, or transfer of a resident there shall be made available by the licensee or primary care giver for the department's review:</p> <p>Physician or APRN signed orders for diet, medications, and treatments;</p>	<p>11-100.1-17 Records and Reports (a)(6) The PCG will ensure that all readmissions have updated physician's orders, diet orders, and treatment orders.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will utilize the ARCH/Expanded ARCH Resident</p>	

<p>FINDINGS</p> <p>[REDACTED]</p>	<p>Admission/Readmission Check List to ensure that physician orders for diet, medication and treatments are obtained prior to readmission. The checklist will serve as a guideline for the PCG to ensure that the deficient practice will not recur.</p>	<p>08/24/15</p>
<p><input checked="" type="checkbox"/> §11-100.1-17 <u>Records and reports.</u> (b)(3) During residence, records shall include:</p> <p>Progress notes that shall be written on a monthly basis, or more often as appropriate, shall include observations of the resident's response to medication, treatments, diet, care plan, any changes in condition, indications of illness or injury, behavior patterns including the date, time, and any and all action taken. Documentation shall be completed immediately when any incident occurs;</p> <p>FINDINGS</p> <p>[REDACTED]</p>	<p>11-100.1-17 Records and Reports (b)(3) The PCG reviewed the Incident Report (ARCH IR 12) and Incident/Unusual Occurrence Report Form (ARCH IR 12A1). These forms will be initiated after each incident to comply with the regulation. The PCG will follow steps indicated on the Incident Report 11-100.1-17(c) (ARCH IR 12A) to ensure that documentation for incidents are completed immediately.</p> <p>Steps to ensure that the deficient practice will not recur: Ongoing inservice for all care givers will be provided to ensure that the deficient practice will not recur.</p>	<p>08/24/15</p>

<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (b)(4) During residence, records shall include:</p> <p>Entries describing treatments and services rendered;</p> <p>FINDINGS</p>	<p>11-100.1-17 Records and Reports (b)(4) The PCG will obtain a physician's order for all wound care treatments. Each treatment will be documented in the resident's binder.</p> <p>Ongoing inservice for all care givers will be provided on recordkeeping when providing treatment.</p>	<p>05/19/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (c) Unusual incidents shall be noted in the resident's progress notes. An incident report of any bodily injury or other unusual circumstances affecting a resident which occurs within the home, on the premises, or elsewhere shall be made and retained by the licensee or primary care giver under separate cover, and shall be made available to the department and other authorized personnel. The resident's physician or APRN shall be called immediately if medical care may be necessary.</p> <p>FINDINGS</p>	<p>11-100.1-17 Records and Reports (c) The PCG will complete the forms: 1) ARCH IR 12 and 2) ARCH IR 12A1 for all incidents found to be deficient. These forms will be filed in a separate folder for review.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will provide ongoing inservice on the completion of the incident report forms to all caregivers and will ensure that reports are initiated for all unusual circumstances and/or injuries.</p>	<p>08/24/15</p>

			
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (f)(1) General rules regarding records:</p> <p>All entries in the resident's record shall be written in black ink, or typewritten, shall be legible, dated, and signed by the individual making the entry;</p> <p>FINDINGS  – Blue ink used on the May 2015 medication record.</p>	<p>11-100.1-17 Records and Reports (f)(1) Ongoing inservice will be provided to remind all care givers to use only black ink for documenting information. We have removed blue ink pens from the facility to ensure that only black ink pens are used.</p>	<p>05/13/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (h)(1) Miscellaneous records:</p> <p>A permanent general register shall be maintained to record all admissions and discharges of residents;</p> <p>FINDINGS </p>	<p>11-100.1-17 Records and Reports (h)(1) </p> <p>Steps to ensure that the deficient practice will not recur: The PCG will utilize the ARCH/Expanded ARCH Resident Admission/Re-Admission Check List to ensure that the general register is updated upon readmission.</p>	<p>08/24/15</p>

<input checked="" type="checkbox"/>	<p>§11-100.1-19 <u>Resident accounts.</u> (a) The conditions under which the primary care giver agrees to be responsible for the resident's funds or property shall be explained to the resident and the resident's family, legal guardian, surrogate or representative and documented in the resident's file. All single transfers with a value in excess of one hundred dollars shall be supported by an agreement signed by the primary care giver and the resident and the resident's family, legal guardian, surrogate or representative.</p> <p>FINDINGS [REDACTED] - Responsibility for resident's funds was not established.</p>	<p>11-100.1-19 Resident Accounts (a) The PCG will create an admission packet containing all the necessary documents for admission and readmission.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will also utilize the ARCH/Expanded ARCH Resident Admission/Re-Admission Check List to ensure that responsibility for the resident's funds are established upon admission.</p>	<p>08/24/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-23 <u>Physical environment.</u> (g)(3)(B) Fire prevention protection.</p> <p>Type I ARCHs shall be in compliance with, but not limited to, the following provisions:</p> <p>There shall be a clear and unobstructed access to a safe area of refuge;</p> <p>FINDINGS Access to the area of refuge from the second exit (back of facility) obstructed by an automobile.</p>	<p>11-100.1-23 Resident Environment (g)(3)(B) Signs were posted stating, "No Parking, Access Aisle" on 06/28/15. The ground is also painted indicating no parking in the area. This is to remind staff and visitors that they should not block the access path to the safe area of refuge.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will include information regarding designated parking areas on the checklist as part of the orientation of new staff.</p>	<p>08/24/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-23 <u>Physical environment.</u> (g)(3)(I) Fire prevention protection.</p> <p>Type I ARCHs shall be in compliance with, but not limited to, the following provisions:</p> <p>Each resident of a Type I home must be certified by a physician that the resident is ambulatory and capable of following directions and taking appropriate action for self-preservation under emergency conditions, except that a</p>	<p>11-100.1-23 Physical Environment (g)(3)(I) The PCG will ensure that a new self-preservation certificate is obtained at the time of admission and readmission.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will utilize the ARCH/Expanded ARCH Resident Admission/Re-Admission Check List to ensure that the self-preservation certification is obtained and signed by the physician/APRN at the time of readmission.</p>	<p>08/24/15</p>

	<p>maximum of two residents, not so certified, may reside in the Type I home provided that either:</p> <p>FINDINGS</p> <p>[REDACTED]</p>		
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Licensee/Administrator's Signature: [REDACTED]

Print Name: [REDACTED]

Date: 08/19/15

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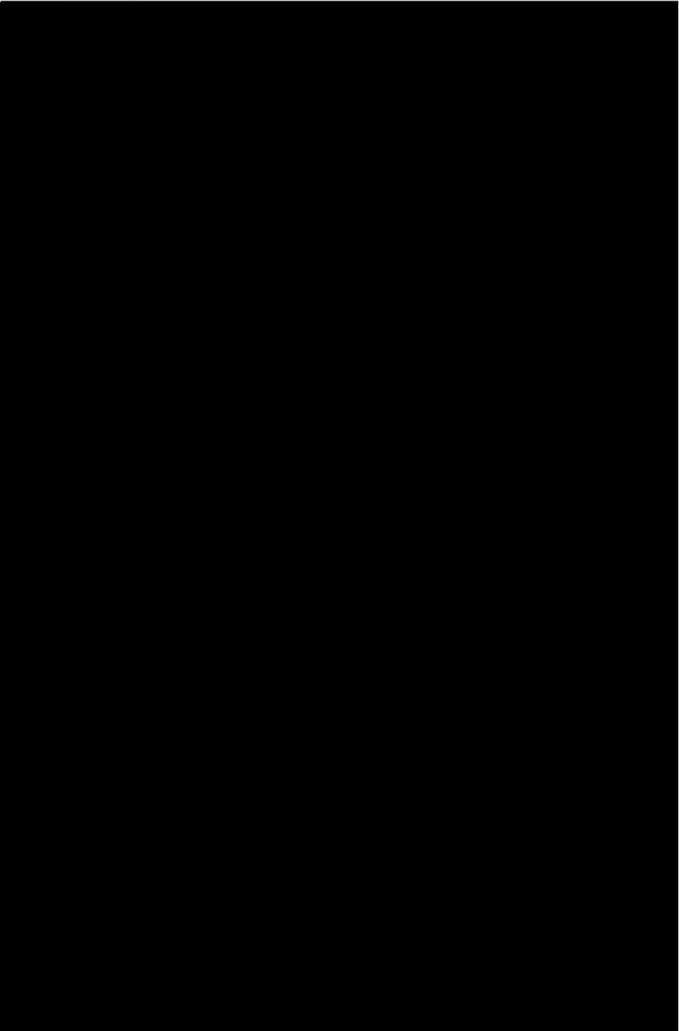
	Rules (Criteria)	Plan of Correction	Completion Date
<input checked="" type="checkbox"/>	<p>§11-100.1-9 <u>Personnel, staffing and family requirements.</u> (e)(3) The substitute care giver who provides coverage for a period less than four hours shall:</p> <p>Be currently certified in first aid;</p> <p>FINDINGS <div style="background-color: black; width: 100px; height: 15px; display: inline-block;"></div> - No first aid certification. Submit a copy for each with the plan of correction (POC).</p>	<p>11-100.1-9 Personnel, Staffing and Family Requirements (e)(3) <div style="background-color: black; width: 100%; height: 40px; display: inline-block;"></div></p> <p>Our personnel office will maintain a list of employees that will show first aid certification expiration dates. Reminders will be provided to employees one month prior to the expiration of the certificate.</p>	07/18/15
<input checked="" type="checkbox"/>	<p>§11-100.1-9 <u>Personnel, staffing and family requirements.</u> (e)(4) The substitute care giver who provides coverage for a period less than four hours shall:</p> <p>Be trained by the primary care giver to make prescribed medications available to residents and properly record such action.</p>	<p>11-100.1-9 Personnel, Staffing and Family Requirements (e)(4) The PCG will provide inservice training to the SCGs. <div style="background-color: black; width: 20px; height: 10px; display: inline-block;"></div> will initiate a medication training log to ensure all care givers are trained to make prescribed medications available to residents.</p>	07/31/15

	<p>FINDINGS ██████████ No documentation of training to make medications available.</p>		
<input checked="" type="checkbox"/>	<p>§11-100.1-10 <u>Admission policies. (a)</u> Type I ARCHs shall admit residents requiring care as stated in section 11-100.1-2. The level of care needed by the resident shall be determined and documented by that resident's physician or APRN prior to admission. Information as to each resident's level of care shall be obtained prior to a resident's admission to a Type I ARCH and shall be made available for review by the department, the resident, the resident's legal guardian, the resident's responsible placement agency, and others authorized by the resident to review it.</p> <p>FINDINGS ██████████</p>	<p>11-100.1-10 Admission Policies (a) The PCG will ensure that the resident's physician or APRN complete a level of care evaluation for all readmissions.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will utilize the ARCH/Expanded ARCH Resident Admission/Re-Admission Check List to ensure that the level of care is documented prior to readmission. The checklist will be part of the admission/readmission packet for all residents. In addition, the RN manager will provide inservice to the PCG on readmission procedures to ensure that the deficient practice will not recur.</p>	<p>08/24/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-10 <u>Admission policies. (d)</u> The Type I ARCH shall only admit residents at appropriate levels of care. The capacity of the Type I ARCH shall also be limited by this chapter, chapter 321, HRS, and as determined by the department.</p> <p>FINDINGS ██████████</p>	<p>11-100.1-10 Admission Policies (d) ██████████</p> <p>Prior to admission or readmission, residents are to be evaluated by a physician or APRN to determine the appropriate level of care. The PCG and/or SCG will discuss this with the resident's family and accept or decline admission based on the appropriate level of care. This is to ensure proper placement of the resident.</p>	<p>05/18/15</p>

<input checked="" type="checkbox"/>	<p>§11-100.1-12 <u>Emergency care of residents and disaster preparedness.</u> (b) The licensee shall maintain a first aid kit for emergency use for each Type I ARCH.</p> <p><u>FINDINGS</u> First aid kit contained the following:</p> <ul style="list-style-type: none"> • Neosporin ointment • Used tube of triple antibiotic ointment • Used tube of bacitracin ointment 	<p>11-100.1-12 Emergency Care of Residents and Disaster Preparedness (b) The PCG has removed the Neosporin ointment, triple antibiotic ointment, and bacitracin ointment from the first aid kit on 05/13/15.</p> <p>Monthly random checks will be conducted by the RN manager to ensure that the deficient practice will not recur.</p>	<p>05/13/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-13 <u>Nutrition.</u> (d) Current menus shall be posted in the kitchen and in a conspicuous place in the dining area for the residents and department to review.</p> <p><u>FINDINGS</u> Current menu was not posted in the resident dining area.</p>	<p>11-100.1-13 Nutrition (d) Menus have been posted in the dining area for the residents and the department to review. In addition, daily menus are displayed on a dry erase board located on the dining table.</p> <p>Random checks will be conducted by the RN manager to ensure that the deficient practice will not recur.</p>	<p>05/15/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-14 <u>Food sanitation.</u> (f) Toxic chemicals and cleaning agents, such as insecticides, fertilizers, bleaches and all other poisons, shall be properly labeled and securely stored apart from any food supplies.</p> <p><u>FINDINGS</u> Toxic chemicals in an unlocked closet.</p>	<p>11-100.1-14 Food Sanitation (f) The staff has locked the closet containing the toxic chemicals and cleaning agents. In addition, a sign was posted as a reminder to keep the door locked. Ongoing inservice will be provided to all care givers to lock the closet at all times.</p>	<p>05/13/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-15 <u>Medications.</u> (e) All medications and supplements, such as vitamins, minerals,</p>		

and formulas, shall be made available as ordered by a physician or APRN.

FINDINGS



11-100.1-15 Medications (e)

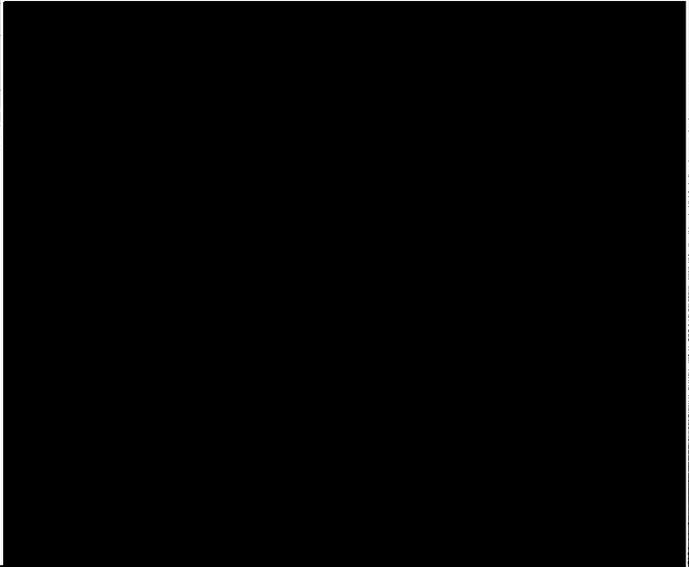
The PCG will provide instruction to all SCGs on new medication orders. This is to ensure that special instructions are being communicated and that staff follows these instructions as noted on the label.

Steps to ensure that the deficient practice will not recur:
The PCG will utilize the ARCH/Expanded ARCH Resident Admission/Re-Admission Check List to ensure that medication orders/treatment orders are obtained. The PCG will also initiate a new medication record upon readmission to reflect current physician orders (i.e. parameters and /or "as needed" instructions). The PCG will provide instruction to all SCGs for new medication orders. This is to ensure that special instructions noted on the label and physician's orders are being followed.

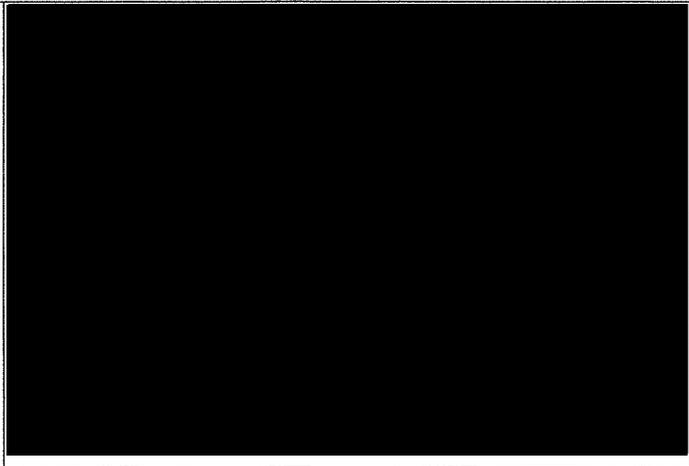
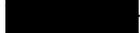
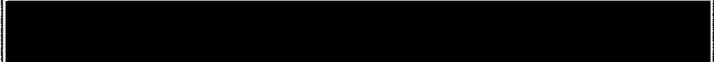
The PCG or the RN manager will conduct random checks during medication pass to ensure that the deficient practice will not recur.

08/24/15

	142/74 (no time noted) – medication was not given		
<input checked="" type="checkbox"/>	<p>§11-100.1-15 <u>Medications.</u> (m) All medications and supplements, such as vitamins, minerals, and formulas, when taken by the resident, shall be recorded on the resident's medication record, with date, time, name of drug, and dosage initialed by the care giver.</p> <p>FINDINGS</p> 	<p>11-100.1-15 Medications (m) The SCGs assigned to the night shift will be given the task to monitor medication records for accuracy and missing signatures. This is to ensure that all medications are properly administered.</p> <p>The PCG will provide inservice to all care givers on proper medication recordation.</p>	05/13/15
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (a)(1) The licensee or primary care giver shall maintain individual records for each resident. On admission, readmission, or transfer of a resident there shall be made available by the licensee or primary care giver for the department's review:</p> <p>Documentation of primary care giver's assessment of resident upon admission;</p> <p>FINDINGS</p> 	<p>11-100.1-17 Records and Reports (a)(1) The PCG will ensure that the Resident Admission assessment is complete for all readmissions.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will utilize the ARCH/Expanded ARCH Resident Admission/Re-Admission Check List to ensure that all documents are completed. The RN manager will review records periodically to ensure that the deficient practice will not recur.</p>	08/24/15
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (a)(6) The licensee or primary care giver shall maintain individual records for each resident. On admission, readmission, or transfer of a resident there shall be made available by the licensee or primary care giver for the department's review:</p> <p>Physician or APRN signed orders for diet, medications, and treatments;</p>	<p>11-100.1-17 Records and Reports (a)(6) The PCG will ensure that all readmissions have updated physician's orders, diet orders, and treatment orders.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will utilize the ARCH/Expanded ARCH Resident</p>	

	<p>FINDINGS</p> 	<p>Admission/Readmission Check List to ensure that physician orders for diet, medication and treatments are obtained prior to readmission. The checklist will serve as a guideline for the PCG to ensure that the deficient practice will not recur.</p>	<p>08/24/15</p>
<p><input checked="" type="checkbox"/></p>	<p>§11-100.1-17 <u>Records and reports.</u> (b)(3) During residence, records shall include:</p> <p>Progress notes that shall be written on a monthly basis, or more often as appropriate, shall include observations of the resident's response to medication, treatments, diet, care plan, any changes in condition, indications of illness or injury, behavior patterns including the date, time, and any and all action taken. Documentation shall be completed immediately when any incident occurs;</p> <p>FINDINGS</p> 	<p>11-100.1-17 Records and Reports (b)(3) The PCG reviewed the Incident Report (ARCH IR 12) and Incident/Unusual Occurrence Report Form (ARCH IR 12A1). These forms will be initiated after each incident to comply with the regulation. The PCG will follow steps indicated on the Incident Report 11-100.1-17(c) (ARCH IR 12A) to ensure that documentation for incidents are completed immediately.</p> <p>Steps to ensure that the deficient practice will not recur: Ongoing inservice for all care givers will be provided to ensure that the deficient practice will not recur.</p>	<p>08/24/15</p>

	unresponsive, sustained a hematoma, and hospitalized, written on 4/17/15.		
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (b)(4) During residence, records shall include:</p> <p>Entries describing treatments and services rendered;</p> <p>FINDINGS</p> <p>[REDACTED]</p>	<p>11-100.1-17 Records and Reports (b)(4)</p> <p>[REDACTED]</p> <p>Ongoing inservice for all care givers will be provided on recordkeeping when providing treatment.</p>	05/19/15
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (c) Unusual incidents shall be noted in the resident's progress notes. An incident report of any bodily injury or other unusual circumstances affecting a resident which occurs within the home, on the premises, or elsewhere shall be made and retained by the licensee or primary care giver under separate cover, and shall be made available to the department and other authorized personnel. The resident's physician or APRN shall be called immediately if medical care may be necessary.</p> <p>FINDINGS</p> <p>[REDACTED]</p>	<p>11-100.1-17 Records and Reports (c) The PCG will complete the forms: 1) ARCH IR 12 and 2) ARCH IR 12A1 for all incidents found to be deficient. These forms will be filed in a separate folder for review.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will provide ongoing inservice on the completion of the incident report forms to all caregivers and will ensure that reports are initiated for all unusual circumstances and/or injuries. The PCG and/or SCG will use Form ARCH IR 12A, <i>Incident Report 11-100.1-17(c)</i>, as a checklist so that the deficient practice will not recur.</p>	09/11/15

			
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (f)(1) General rules regarding records:</p> <p>All entries in the resident's record shall be written in black ink, or typewritten, shall be legible, dated, and signed by the individual making the entry;</p> <p>FINDINGS  - Blue ink used on the May 2015 medication record.</p>	<p>11-100.1-17 Records and Reports (f)(1) Ongoing inservice will be provided to remind all care givers to use only black ink for documenting information. We have removed blue ink pens from the facility to ensure that only black ink pens are used.</p> <p>***** 11-100.1-17 Records and Reports (h)(1) </p>	<p>05/13/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-17 <u>Records and reports.</u> (h)(1) Miscellaneous records:</p> <p>A permanent general register shall be maintained to record all admissions and discharges of residents;</p> <p>FINDINGS </p>	<p>Steps to ensure that the deficient practice will not recur: The PCG will utilize the ARCH/Expanded ARCH Resident Admission/Re-Admission Check List to ensure that the general register is updated for readmissions.</p> <p>The RN manager will develop an ARCH Discharge Checklist, listing "Resident Register" as an item to serve as a reminder to note the discharge date on the register.</p>	<p>09/11/15</p>

<input checked="" type="checkbox"/>	<p>§11-100.1-19 <u>Resident accounts.</u> (a) The conditions under which the primary care giver agrees to be responsible for the resident's funds or property shall be explained to the resident and the resident's family, legal guardian, surrogate or representative and documented in the resident's file. All single transfers with a value in excess of one hundred dollars shall be supported by an agreement signed by the primary care giver and the resident and the resident's family, legal guardian, surrogate or representative.</p> <p>FINDINGS ██████ – Responsibility for resident's funds was not established.</p>	<p>11-100.1-19 Resident Accounts (a) The PCG will create an admission packet containing all the necessary documents for admission and readmission.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will also utilize the ARCH/Expanded ARCH Resident Admission/Re-Admission Check List to ensure that responsibility for the resident's funds are established upon admission.</p>	<p>08/24/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-23 <u>Physical environment.</u> (g)(3)(B) Fire prevention protection.</p> <p>Type I ARCHs shall be in compliance with, but not limited to, the following provisions:</p> <p>There shall be a clear and unobstructed access to a safe area of refuge;</p> <p>FINDINGS Access to the area of refuge from the second exit (back of facility) obstructed by an automobile.</p>	<p>11-100.1-23 Resident Environment (g)(3)(B) Signs were posted stating, "No Parking, Access Aisle" on 06/28/15. The ground is also painted indicating no parking in the area. This is to remind staff and visitors that they should not block the access path to the safe area of refuge.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will include information regarding designated parking areas on the checklist as part of the orientation of new staff. Staff and visitors will be given a verbal warning that parking in the access area is strictly prohibited and the next offense will result in their vehicle being towed away.</p>	<p>09/11/15</p>
<input checked="" type="checkbox"/>	<p>§11-100.1-23 <u>Physical environment.</u> (g)(3)(I) Fire prevention protection.</p> <p>Type I ARCHs shall be in compliance with, but not limited to, the following provisions:</p> <p>Each resident of a Type I home must be certified by a physician that the resident is ambulatory and capable of following directions and taking appropriate action for self-preservation under emergency conditions, except that a</p>	<p>11-100.1-23 Physical Environment (g)(3)(I) The PCG will ensure that a new self-preservation certificate is obtained at the time of admission and readmission.</p> <p>Steps to ensure that the deficient practice will not recur: The PCG will utilize the ARCH/Expanded ARCH Resident Admission/Re-Admission Check List to ensure that the self-preservation certification is obtained and signed by the physician/APRN at the time of readmission.</p>	<p>08/24/15</p>

<p>maximum of two residents, not so certified, may reside in the Type I home provided that either:</p> <p><u>FINDINGS</u></p> <p>[REDACTED]</p>		
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Licensee/Administrator's Signature: _____

Print Name: _____

Date: _____

[REDACTED]

09/09/15